

INTERNATIONAL COOPERATION TREATY

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents
United States Patent and Trademark
Office
Box PCT
Washington, D.C.20231
ÉTATS-UNIS D'AMÉRIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 26 August 1999 (26.08.99)	
International application No. PCT/AU99/00067	Applicant's or agent's file reference
International filing date (day/month/year) 29 January 1999 (29.01.99)	Priority date (day/month/year) 12 February 1998 (12.02.98)
Applicant SPEIGHT, Russell, Gordon	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:
16 August 1999 (16.08.99)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

<p>The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland</p> <p>Facsimile No.: (41-22) 740.14.35</p>	<p>Authorized officer C. Carrié</p> <p>Telephone No.: (41-22) 338.83.38</p>
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PCT

REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference
(if desired) (12 characters maximum)

Box No. I TITLE OF INVENTION

AUTOMATED MOLDING TECHNOLOGY FOR THERMOPLASTIC INJECTION MOLDING

Box No. II APPLICANT

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

MOLDFLOW PTY LTD
259-261 COLCHESTER ROAD
KILSYTH VIC 3137
AUSTRALIA

☐ This person is also inventor.

Telephone No.

(03) 9720 2088

Facsimile No.

(03) 9729 0433

Teleprinter No.

State (that is, country) of nationality:

AUSTRALIA

State (that is, country) of residence:

AUSTRALIA

This person is applicant
for the purposes of:all designated
Statesall designated States except
the United States of Americathe United States
of America onlythe States indicated in
the Supplemental Box

Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

RUSSELL GORDON SPEIGHT
259-261 COLCHESTER ROAD
KILSYTH VIC 3137
AUSTRALIA

This person is:

☐ applicant only☒ applicant and inventor☐ inventor only (If this check-box
is marked, do not fill in below.)

State (that is, country) of nationality:

AUSTRALIA

State (that is, country) of residence:

AUSTRALIA

This person is applicant
for the purposes of:all designated
Statesall designated States except
the United States of Americathe United States
of America onlythe States indicated in
the Supplemental Box☐ Further applicants and/or (further) inventors are indicated on a continuation sheet.

Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE

The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:



agent



common representative

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

GRIFFITH HACK
509 ST KILDA ROAD
MELBOURNE VIC 3004
AUSTRALIA

Telephone No.

(03) 9243 8300

Facsimile No.

+ 61 - 3 9243 8333

Teleprinter No.

☐ Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.

Box No.V DESIGNATION STATES

The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):

Regional Patent

- ☒ AP ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, SD Sudan, SZ Swaziland, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT
- ☒ EA Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT
- ☒ EP European Patent: AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, CY Cyprus, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- ☒ OA OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)

National Patent (if other kind of protection or treatment desired, specify on dotted line):

- | | |
|--|--|
| <input checked="" type="checkbox"/> AL Albania | <input checked="" type="checkbox"/> LS Lesotho |
| <input checked="" type="checkbox"/> AM Armenia | <input checked="" type="checkbox"/> LT Lithuania |
| <input checked="" type="checkbox"/> AT Austria | <input checked="" type="checkbox"/> LU Luxembourg |
| <input checked="" type="checkbox"/> AU Australia | <input checked="" type="checkbox"/> LV Latvia |
| <input checked="" type="checkbox"/> AZ Azerbaijan | <input checked="" type="checkbox"/> MD Republic of Moldova |
| <input checked="" type="checkbox"/> BA Bosnia and Herzegovina | <input checked="" type="checkbox"/> MG Madagascar |
| <input checked="" type="checkbox"/> BB Barbados | <input checked="" type="checkbox"/> MK The former Yugoslav Republic of Macedonia |
| <input checked="" type="checkbox"/> BG Bulgaria | <input checked="" type="checkbox"/> MN Mongolia |
| <input checked="" type="checkbox"/> BR Brazil | <input checked="" type="checkbox"/> MW Malawi |
| <input checked="" type="checkbox"/> BY Belarus | <input checked="" type="checkbox"/> MX Mexico |
| <input checked="" type="checkbox"/> CA Canada | <input checked="" type="checkbox"/> NO Norway |
| <input checked="" type="checkbox"/> CH and LI Switzerland and Liechtenstein | <input checked="" type="checkbox"/> NZ New Zealand |
| <input checked="" type="checkbox"/> CN China | <input checked="" type="checkbox"/> PL Poland |
| <input checked="" type="checkbox"/> CU Cuba | <input checked="" type="checkbox"/> PT Portugal |
| <input checked="" type="checkbox"/> CZ Czech Republic | <input checked="" type="checkbox"/> RO Romania |
| <input checked="" type="checkbox"/> DE Germany | <input checked="" type="checkbox"/> RU Russian Federation |
| <input checked="" type="checkbox"/> DK Denmark | <input checked="" type="checkbox"/> SD Sudan |
| <input checked="" type="checkbox"/> EE Estonia | <input checked="" type="checkbox"/> SE Sweden |
| <input checked="" type="checkbox"/> ES Spain | <input checked="" type="checkbox"/> SG Singapore |
| <input checked="" type="checkbox"/> FI Finland | <input checked="" type="checkbox"/> SI Slovenia |
| <input checked="" type="checkbox"/> GB United Kingdom | <input checked="" type="checkbox"/> SK Slovakia |
| <input checked="" type="checkbox"/> GD Grenada | <input checked="" type="checkbox"/> SL Sierra Leone |
| <input checked="" type="checkbox"/> GE Georgia | <input checked="" type="checkbox"/> TJ Tajikistan |
| <input checked="" type="checkbox"/> GH Ghana | <input checked="" type="checkbox"/> TM Turkmenistan |
| <input checked="" type="checkbox"/> GM Gambia | <input checked="" type="checkbox"/> TR Turkey |
| <input checked="" type="checkbox"/> HR Croatia | <input checked="" type="checkbox"/> TT Trinidad and Tobago |
| <input checked="" type="checkbox"/> HU Hungary | <input checked="" type="checkbox"/> UA Ukraine |
| <input checked="" type="checkbox"/> ID Indonesia | <input checked="" type="checkbox"/> UG Uganda |
| <input checked="" type="checkbox"/> IL Israel | <input checked="" type="checkbox"/> US United States of America |
| <input checked="" type="checkbox"/> IN India | <input checked="" type="checkbox"/> UZ Uzbekistan |
| <input checked="" type="checkbox"/> IS Iceland | <input checked="" type="checkbox"/> VN Viet Nam |
| <input checked="" type="checkbox"/> JP Japan | <input checked="" type="checkbox"/> YU Yugoslavia |
| <input checked="" type="checkbox"/> KE Kenya | <input checked="" type="checkbox"/> ZW Zimbabwe |
| <input checked="" type="checkbox"/> KG Kyrgyzstan | |
| <input checked="" type="checkbox"/> KP Democratic People's Republic of Korea | |
| <input checked="" type="checkbox"/> KR Republic of Korea | |
| <input checked="" type="checkbox"/> KZ Kazakhstan | |
| <input checked="" type="checkbox"/> LC Saint Lucia | |
| <input checked="" type="checkbox"/> LK Sri Lanka | |
| <input checked="" type="checkbox"/> LR Liberia | |

Check-boxes reserved for designating States (for the purposes of a national patent) which have become party to the PCT after issuance of this sheet:

- ☐
- ☐
- ☐

Precautionary Designation Statement: In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded from the scope of this statement. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)

Box No. VI PRIORITY

☐ Further priority claims are indicated in the Supplemental Box.

Filing date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:		
		national application: country	regional application: regional Office	international application: receiving Office
item (1) 12/02/1998	PP1768	AUSTRALIA		
item (2)				
item (3)				

☒ The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of the present international application is the receiving Office) identified above as item(s): (1)

* Where the earlier application is an ARIPO application, it is mandatory to indicate in the Supplemental Box at least one country party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed (Rule 4.10(b)(ii)). See Supplemental Box.

Box No. VII INTERNATIONAL SEARCHING AUTHORITY

Choice of International Searching Authority (ISA) (if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):

ISA /

Request to use results of earlier search; reference to that search (if an earlier search has been carried out by or requested from the International Searching Authority):

Date (day/month/year)

Number

Country (or regional Office)

Box No. VIII CHECK LIST; LANGUAGE OF FILING

This international application contains the following number of sheets:

request : 3
description (excluding sequence listing part) : 45
claims : 12
abstract : 1
drawings : 3
sequence listing part of description : _____

Total number of sheets : 64

This international application is accompanied by the item(s) marked below:

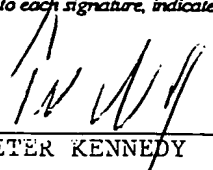
1. ☐ fee calculation sheet
2. ☐ separate signed power of attorney
3. ☐ copy of general power of attorney; reference number, if any:
4. ☐ statement explaining lack of signature
5. ☐ priority document(s) identified in Box No. VI as item(s):
6. ☐ translation of international application into (language):
7. ☐ separate indications concerning deposited microorganism or other biological material
8. ☐ nucleotide and/or amino acid sequence listing in computer readable form
9. ☐ other (specify):


Figure of the drawings which should accompany the abstract:

Language of filing of the international application:

Box No. IX SIGNATURE OF APPLICANT OR AGENT

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).


PETER KENNEDY
Director
Moldflow Pty Ltd


RUSSELL GORDON SPEIGHT
Inventor

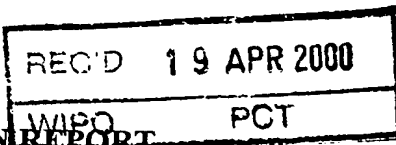
For receiving Office use only

1. Date of actual receipt of the purported international application:	2. Drawings: <input type="checkbox"/> received: <input type="checkbox"/> not received:
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:	
4. Date of timely receipt of the required corrections under PCT Article 1(2):	
5. International Searching Authority (if two or more are competent): ISA /	6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid.

For International Bureau use only

Date of receipt of the record copy by the International Bureau:

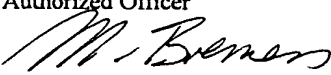
PATENT COOPERATION TREATY
PCT
INTERNATIONAL PRELIMINARY EXAMINATION REPORT



(PCT Article 36 and Rule 70)

Applicant's or agent's file reference JMC:AJMAR:FP10626		FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416).
International application No. PCT/AU99/00067	International filing date (day/month/year) 29 January 1999	Priority Date (day/month/year) 12 February 1998	
International Patent Classification (IPC) or national classification and IPC Int. Cl. ⁷ B29C 45/76, 45/77			
Applicant MOLDFLOW PTY LTD et al			

1.	This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.		
2.	This REPORT consists of a total of 6 sheets, including this cover sheet.		
	<input type="checkbox"/>	This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).	
	These annexes consist of a total of sheet(s).		
3.	This report contains indications relating to the following items:		
I	<input checked="" type="checkbox"/>	Basis of the report	
II	<input type="checkbox"/>	Priority	
III	<input type="checkbox"/>	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	
IV	<input checked="" type="checkbox"/>	Lack of unity of invention	
V	<input checked="" type="checkbox"/>	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	
VI	<input type="checkbox"/>	Certain documents cited	
VII	<input type="checkbox"/>	Certain defects in the international application	
VIII	<input type="checkbox"/>	Certain observations on the international application	

Date of submission of the demand 16 August 1999	Date of completion of the report 4 April 2000
Name and mailing address of the IPEA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaaustralia.gov.au Facsimile No. (02) 6285 3929	Authorized Officer  M. BREMERS Telephone No. (02) 6283 2052

I. Basis of the report**1. With regard to the elements of the international application:***

- ☒ the international application as originally filed.
- ☐ the description, pages , as originally filed,
 pages , filed with the demand,
 pages , received on with the letter of
- ☐ the claims, pages , as originally filed,
 pages , as amended (together with any statement) under Article 19,
 pages , filed with the demand,
 pages , received on with the letter of
- ☐ the drawings, pages , as originally filed,
 pages , filed with the demand,
 pages , received on with the letter of
- ☐ the sequence listing part of the description:
 pages , as originally filed
 pages , filed with the demand
 pages , received on with the letter of

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, was on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages
- ☐ the claims, Nos.
- ☐ the drawings, sheets/fig.

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

** Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report

IV. Lack of unity of invention

1. In response to the invitation to restrict or pay additional fees the applicant has:

- ☐ restricted the claims.
- ☐ paid additional fees.
- ☒ paid additional fees under protest.
- ☐ neither restricted nor paid additional fees.

2. ☐ This Authority found that the requirement of unity of invention is not complied with and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.

3. This Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is

- ☐ complied with.
- ☒ not complied with for the following reasons:

See Supplemental Sheet

4. Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:

- ☒ all parts.
- ☐ the parts relating to claims Nos.

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**1. Statement**

Novelty (N)	Claims 1-39	YES
	Claims	NO
Inventive step (IS)	Claims 1-39	YES
	Claims	NO
Industrial applicability (IA)	Claims 1-39	YES
	Claims	NO

2. Citations and explanations (Rule 70.7)**Claims 1 and 36-39**

US 5518671 A (TAKIZAWA et. al.) 21 May 1996, Abstract and column 7 line 60 – column 8 line 67

US 5578256 A (AUSTIN) 26 November 1996, Abstract

Patent Abstracts of Japan, JP 07-232356 A (SODICK CO LTD) 5 September 1995, Abstract

Derwent Abstract Accession No. 90-152872/20, Class A32, JP 02-098418 A (JAPAN STEEL WORKS) 10 April 1990 Abstract

None of the above documents disclose the combination of steps of adjusting the stroke and/or velocity in response to defective products in an automated optimization of the set-up process. Consequently claim 1 is novel and claims 36-39 are novel when appended to claim 1.

Claims 2-16 and 36-39

US 5595693 A (FUJITA et. al.) 21 January 1997, Abstract and Figure 4

Derwent Abstract Accession No. 97-531112/49, class M22

JP 09-253824 A (TOSHIBA MACHINE CO LTD) 30 September 1997, Abstract

The FUJITA document discloses a method where the injection speed is corrected to eliminate any difference between the resin pressure and a previously set pressure. There is no disclosure of determining a mean injection pressure profile by measuring the injection pressure with the machine configured with a constant, desired, injection velocity. Consequently claim 2 is novel and claims 36-39 are novel when appended to claim 2.

The TOSHIBA document discloses a control method comprising storing set values, measuring actual values, calculating mean actual values and then correcting control values (e.g. speed) to eliminate differences between set values and mean values. There is no disclosure of using this method for the initial setting of the moulding conditions or that the mean measured value is the pressure of the resin. Consequently claim 2 is novel and claims 36-39 are novel when appended to claim 2.

Continued on Supplemental Sheet

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of Box IV

The international application does not comply with the requirements of unity of invention because it does not relate to one invention or to a group of inventions so linked as to form a single general inventive concept. In coming to this conclusion the International Preliminary Examination Authority has found that there are different inventions as follows:

1. Claims 1 and 33-35 (and claims appended thereto) relate to a method for automated optimization of an injection molding set-up process wherein the injection stroke and velocity are adjusted to produce a part having no defects. It is considered that the adjustment of the injection stroke and velocity to produce a part having no defects comprises a first "special technical feature".
2. Claims 2-16 relate to a method for automated optimization of an injection molding set-up process wherein the injection velocity profile is adjusted to achieve a desired pressure profile. It is considered that adjustment of the velocity profile to achieve a desired pressure profile comprises a second "special technical feature".
3. *Claims 17-23 relate to a method for automated optimization of an injection molding set-up process wherein the kickback is determined or adjusted. It is considered that the kickback determination or adjustment comprises a third "special technical feature".
4. *Claims 24-32 relate to a method for automated optimization of an injection molding set-up process wherein the gate freeze time is determined. It is considered that the determination of the gate freeze time comprises a fourth "special technical feature".

It is also noted that in claim 33, the second, third and fourth groups of claims above relate to steps which follow the steps of the first invention (claim 1).

These groups are not so linked as to form a single general inventive concept, that is, they do not have any common inventive features, which define a contribution over the prior art. The common concept linking together these groups of claims is a method for automated optimization of an injection molding set-up process. However this concept is not novel in the light of US 5518671 A (Takizawa et. al.) 21 May 1996. Also see the "Description of the Relevant Art" in this document.

*Claims 17-32 could be grouped differently into two separate inventions. See the explanation below.

In light of the first opinion the applicant has argued that there is a special technical feature common to both claims 17 and 24. The applicant argues that both claims concern

- the measurement of particular points in the variation of a physical characteristic (ie. melt pressure and screw displacement respectively)
- the linear interpolation between these points
- and the establishment of a point of maximum difference between the linear interpolation and the measured characteristic.

It is conceded that this special technical feature is common to both claims 17 and 24 and it appears that this feature is not known from the prior art documents listed in the International Search Report. Therefore, it could be considered that claims 17 and 24 are linked to form a single inventive concept. However, it is noted that independent claim 18 (which previously had been grouped with claim 17 because both claims related to the determination of the kickback) does not contain this special technical feature and would now be considered to be a separate invention from claims 17 and 24. In other words, there still does not appear to be a single technical feature common to all of claims 17, 18 and 24. Either way, the claims still define four separate inventions.

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of Box V**Claims 17 and 18-23 and 36-39**

AU 54714/96 A (NIIGATA ENGINEERING CO LTD) 19 December 1996, Page 24 line 15 to page 27 line 3, Figure 6

Derwent Abstract Accession No. 92-069133/09, class A32

JP 04-012821 A (TOYO KIKAI KINZOKU KK) 17 January 1992, Abstract

The NIIGATA document discloses a method for preventing overpack (kickback) wherein the relationship between the plastic pressure and the screw position is calculated and sequentially calculates the gradient of the plastic pressure curve. The ideal transition point "b" is the point at which the gradient exceeds a predetermined value. The filling stage is stopped immediately and the process makes the transition to the packing stage. There is no disclosure of incrementing the packing/holding pressure to achieve an acceptable kickback. Therefore claim 18 is novel and claims 36-39 are novel when appended to claim 18 in light of this document.

Furthermore the invention as defined in claim 17 relates exclusively to the pressure phase, ie. The phase following that considered in the NIIGATA document. Therefore claim 17 is novel and inventive.

The TOYO document discloses a method of setting the optimum dwell pressure changing point by performing test shots at different dwell pressure changing points. There is no disclosure of incrementing the packing/holding pressure to achieve an acceptable kickback. Therefore claim 18 is novel and claims 36-39 are novel when appended to claim 18 in light of this document.

Claims 24-32 and 36-39

Derwent Abstract Accession No. 95-354745/46, class A32

JP 07-241892 A (SODICK CO LTD) 19 September 1995, Abstract

Derwent Abstract Accession No. 89-296767/41, class A32

JP 01-218814 A (TOYO KIKAI KINZOKU KK) 1 September 1989, Abstract

The SODICK document discloses setting the dwelling time (which is the same as the holding time and the gate sealing time-see the PAJ abstract) by performing a number of injections with varying dwelling times. The weight of the products are measured and the dwelling time is set according to the variation in weight (presumably the gate sealing time is the time wherein the weight ceases to vary). In contrast, the method defined in claim 24 determines the gate freeze time by establishing a linear relationship between screw displacement and time at each of the packing time and holding time. The gate freeze time is then determined as the time of maximum difference between the screw displacement and the linear relationship. Therefore claim 24 is novel and inventive.

The TOYO document discloses a method of judging product quality by determining whether gate seal time was reached. This is determined by judging the slow down speed of the injection member. There is no disclosure of using this method for the initial setting of the moulding conditions. Therefore claim 24 is novel and claims 36-39 are novel when appended to claim 24 in light of this document.

Claims 33-35 and 36-39

Claim 33 is an independent claim combining the steps defined in claims 1, 2, 18 and 24. Claim 33 and its dependent claims are novel since they incorporate the novel features of claims 1, 2 and 18.

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference AJM:PDM:FP 10626	FOR FURTHER ACTION see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. PCT/AU 99/00067	International filing date (day/month/year) 29 January 1999	(Earliest) Priority Date (day/month/year) 12 February 1998
Applicant MOLDFLOW PTY LTD		

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 7 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

- a. With regard to the language, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international application, the international search was carried out on the basis of the sequence listing:

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ Certain claims were found unsearchable (See Box I).

3. ☒ Unity of invention is lacking (See Box II).

4. With regard to the title, ☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the abstract, ☐ the text is approved as submitted by the applicant

☒ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the drawings to be published with the abstract is Figure No.

☐ as suggested by the applicant.

☐ because the applicant failed to suggest a figure

☐ because this figure better characterizes the invention

☒ None of the figures

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a)

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

See extra sheet.

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☒ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

Box III TEXT OF THE ABSTRACT (Continuation of item 5 of the first sheet)

A method for the automated optimization of an injection molding machine set-up process comprising injection molding one or more parts, inspecting the parts for defects, adjusting the injection stroke and/or the injection velocity and repeating the process until the defects are reduced. There is also disclosed a method comprising injection molding one or more parts, determining a mean injection pressure profile by measuring the injection pressure with the machine configured with a constant, desired injection velocity. Then the velocity profile is adjusted to reduce differences between the measured pressure and the mean pressure profile. A further method is disclosed wherein the kickback is calculated and adjusted from screw displacement, packing/holding time and pressure. Also disclosed is a method comprising injection molding one or more parts then determining the gate freeze time by incrementing the holding time and measuring the screw displacement.

A. CLASSIFICATION OF SUBJECT MATTERInt Cl⁶: B29C 45/76, 45/77

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC: B29C 45/76, 45/77, 45/17, B29F 1/06, 1/04

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

AU: B29C 45/76, 45/77

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)


WPAT and JAPIO: IPC as above and keywords

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	AU 54714/96 A (NIGATA ENGINEERING CO LTD) 19 December 1996 Page 24 line 15 to page 27 line 3, Figure 6 Page 24 line 15 to page 27 line 3, Figure 6	17 18-23, 33-39
A	Derwent Abstract Accession No. 92-069133/09, Class A32 JP 04-012821 A (TOYO KIKAI KINZOKU KK) 17 January 1992 Abstract	18-23, 33-39
X A	Derwent Abstract Accession No. 95-354745/46, Class A32 JP 07-241892 A (SODICK CO LTD) 19 September 1995 Abstract Abstract	24-32 33-39

☒ Further documents are listed in the
continuation of Box C☒ See patent family annex

* Special categories of cited documents:	
"A" Document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search
19 March 1999Date of mailing of the international search report
25 MAR 1999Name and mailing address of the ISA/AU
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C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	Derwent Abstract Accession No. 89-296767/41, Class A32 JP 01-218814 A (TOYO KIKAI KINZOKU KK) 1 September 1989 Abstract	24-39
A	US 5518671 A (TAKIZAWA et al.) 21 May 1996 Abstract and column 7 line 60 - column 8 line 67	1, 33-39
A	US 5578256 A (AUSTIN) 26 November 1996 Abstract	1, 33-39
A	Patent Abstracts of Japan JP 07-232356 A (SODICK CO LTD) 5 September 1995 Abstract	1, 33-39
A	Derwent Abstract Accession No. 90-152872/20, Class A32 JP 02-098418 A (JAPAN STEEL WORKS) 10 April 1990 Abstract	1, 33-39
A	US 5595693 A (FUJITA et al.) 21 January 1997 Abstract and Figure 4	2-16, 33-39
A	Derwent Abstract Accession No. 97-531112/49, Class M22 JP 09-253824 A (TOSHIBA MACHINE CO LTD) 30 September 1997 Abstract	2-16, 33-39

Supplemental Box

(To be used when the space in any of Boxes I to VIII is not sufficient)

Continuation of Box No: II

The international application does not comply with the requirements of unity of invention because it does not relate to one invention or to a group of inventions so linked as to form a single general inventive concept. In coming to this conclusion the International Searching Authority has found that there are different inventions as follows:

1. Claims 1 and 33-35 (and claims appended thereto) relate to a method for automated optimization of an injection molding set-up process wherein the injection stroke and velocity are adjusted to produce a part having no defects. It is considered that the adjustment of the injection stroke and velocity to produce a part having no defects comprises a first "special technical feature".
2. Claims 2-16 relate to a method for automated optimization of an injection molding set-up process wherein the injection velocity profile is adjusted to achieve a desired pressure profile. It is considered that adjustment of the velocity profile to achieve a desired pressure profile comprises a second "special technical feature".
3. Claims 17-23 relate to a method for automated optimization of an injection molding set-up process wherein the kickback is determined or adjusted. It is considered that the kickback determination or adjustment comprises a third "special technical feature".
4. Claims 24-32 relate to a method for automated optimization of an injection molding set-up process wherein the gate freeze time is determined. It is considered that the determination of the gate freeze time comprises a fourth "special technical feature".

It is also noted that in claim 33, the second, third and fourth groups of claims above relate to steps which follow the steps of the first invention (claim 1).

These groups are not so linked as to form a single general inventive concept, that is, they do not have any common inventive features, which define a contribution over the prior art. The common concept linking together these groups of claims is a method for automated optimization of an injection molding set-up process. However this concept is not novel in the light of US 5518671 A (Takizawa et al.) 21 May 1996. Also see the "Description of the relevant Art" in this document. Therefore these claims lack unity a posteriori.

International application No.
PCT/AU 99/00067

Patent Document Cited in Search Report				Patent Family Member			
US	5518671	JP	7112473	JP	7112475	JP	7112466
US	5578256	AU	76663/91	EP	522061	WO	9114562
US	5595693	DE	4446857	GB	2286145	JP	7186231
END OF ANNEX							

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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : B29C 45/76, 45/77	A1	(11) International Publication Number: WO 99/41057 (43) International Publication Date: 19 August 1999 (19.08.99)
(21) International Application Number: PCT/AU99/00067 (22) International Filing Date: 29 January 1999 (29.01.99) (30) Priority Data: PP 1768 12 February 1998 (12.02.98) AU (71) Applicant (for all designated States except US): MOLDFLOW PTY. LTD. [AU/AU]; 259-261 Colchester Road, Kilsyth, VIC 3137 (AU). (72) Inventor; and (75) Inventor/Applicant (for US only): SPEIGHT, Russell, Gordon [AU/AU]; 259-261 Colchester Road, Kilsyth, VIC 3137 (AU). (74) Agent: GRIFFITH HACK; 509 St. Kilda Road, Melbourne, VIC 3004 (AU).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published With international search report.
(54) Title: AUTOMATED MOLDING TECHNOLOGY FOR THERMOPLASTIC INJECTION MOLDING		
(57) Abstract <p>A method for the automated optimization of an injection molding machine set-up process comprising injection molding one or more parts, inspecting the parts for defects, adjusting the injection stroke and/or the injection velocity and repeating the process until the defects are reduced. There is also disclosed a method comprising injection molding one or more parts, determining a mean injection pressure profile by measuring the injection pressure with the machine configured with a constant, desired injection velocity. Then the velocity profile is adjusted to reduce differences between the measured pressure and the mean pressure profile. A further method is disclosed wherein the kickback is calculated and adjusted from screw displacement, packing/holding time and pressure. Also disclosed is a method comprising injection molding one or more parts then determining the gate freeze time by incrementing the holding time and measuring the screw displacement.</p>		

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AUTOMATED MOLDING TECHNOLOGY FOR THERMOPLASTIC
INJECTION MOLDING

The present invention relates to thermoplastic injection molding and in particular to the automation of the die setter's role in the setting of parameters of injection molding machines. The invention may also be applicable to reactive injection molding.

Injection molding is one of the most important and efficient manufacturing techniques for polymeric materials, with the capability to mass produce high value added products, such as the compact disc. Injection molding can be used for molding other materials, such as thermoset plastics, ceramics and metal powders. The process in its present form was developed in the mid 1950s, when the first reciprocating screw machines became available. Material, machine and process variations are important in this complex multi-variable process. There are three interacting domains for research and development: 1) polymeric material technology: introduction of new and improved materials; 2) machine technology: development of machine capability; and 3) processing technology: analysis of the complex interactions of machine and process parameters. As improved product quality and enhanced engineering properties are required of polymeric materials, the injection molding process has become increasingly complex: as service properties increase material processability tends to decrease.

Thermoplastics can be classified as bulk or engineering materials. Engineering materials are typically more difficult to process, and more expensive, and therefore

their processing would benefit the most from automated molding optimization (AMO). Injection molding is a batch operation, so machine set-up ultimately affects productivity.

5

Any molding operation should aim to manufacture component products to a specific quality level, in the shortest time, in a repeatable and fully automatic cycle. Injection molding machines usually provide velocity control and pressure control, that is, control of the velocity of the injection screw when filling the part and control of the pressure exerted by injection screw when packing/holding the part, respectively. The following description assumes the use of a modern injection molding machine, after circa 1980, with velocity control of the mold filling and pressure control of the packing/holding stages.

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The typical injection molding cycle is as follows:

1) Plasticisation Stage: plasticisation occurs as the screw rotates, pressure develops against the 'closed-off' nozzle and the screw moves backwards ('reciprocates') to accumulate a fresh shot (the molten polymer in front the screw), ready for injection of melt in front of the screw tip. Back pressure determines the amount of work done on the polymer melt during plasticisation. Polymer melt is forced through the screw non-return valve. Material is fed to the screw by gravity from a hopper. The polymeric material may require conditioning, especially in the case of engineering thermoplastics, to ensure melt homogeneity and therefore that the melt has consistent flow characteristics.

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2) Injection/Filling Stage: the empty mold is closed, and a 'shot' of polymer melt is ready in the injection

unit, in front of the screw. Injection/filling occurs, polymer melt is forced through the nozzle, runner, gate and into the mold cavity. The screw non-return valve closes and prevents back-flow of polymer melt. In this, the mold filling part of the injection molding cycle, high pressures of the order of 100 MPa are often required to achieve the required injection velocity.

3) Packing/Compression Stage: a packing pressure occurs at a specified VP or 'switch-over' point. This is the velocity control to pressure control transfer point, i.e. the point at which the injection molding machine switches from velocity control to pressure control. 'Switch-over' should preferably occur when the mold cavity is approximately full, to promote efficient packing. The switch-over from injection to packing is typically initiated by screw position. Switch-over can be initiated by pressure, i.e. hydraulic, nozzle melt injection pressures or cavity melt pressure parameters measured from the machine. The end of this stage is referred to as 'pack time' or 'packing time'.

4) Holding Stage: a second stage pressure occurs after the initial packing pressure and is necessary during the early stages of the cooling of the molded part to counteract polymer contraction. It is required until the mold gate freezes; the injection pressure can then be released. This phase compensates for material shrinkage, by forcing more material into the mold. Typical industrial machine settings use one secondary pressure, combining the packing and holding phases, to allow for easier machine set-up. It has been shown that under packing results in premature shrinkage, which may lead to dimensional variation and sink marks. Over packing may cause premature opening of the tool (i.e. the die or mold of the

component(s) to be manufactured) in a phenomenon known as flashing, difficulties in part removal (sticking) and excessive residual stresses resulting in warpage. Analysis of the packing phase is therefore an essential step in predicting the final product quality. The portion of filling after switch-over can be more important than the velocity controlled primary injection stage. The end of this stage is known as 'hold time' or 'holding time'.

5) Cooling Stage: This phase starts as soon as the polymer melt is injected into the cavity. The polymer melt begins to solidify when in contact with the cavity surface. Estimating cooling time is becoming increasingly important, especially when large numbers of components are being molded. In order to calculate cooling time, component ejection temperature should be known. Cooling an injection molded product uniformly may mean cooling the mold at different rates, in different areas. The aim is to cool the product as quickly as possible, while ensuring that faults such as poor surface appearance and changes in physical properties are not encountered. The aims for a cooling system are: (i) minimum cooling time, (ii) even cooling on part surfaces, and (iii) balanced cooling between a core and a cavity part of a two-plate tool system. Tool temperature control is required to maintain a temperature differential ΔT between the tool and the polymer melt. For example, a typical polyoxymethylene melt temperature is 215°C , tool temperature is 70°C , and hence $\Delta T = 145^{\circ}\text{C}$. Adverse effects to product quality must be expected for no or poor temperature control. The cooling phase enables the polymer melt to solidify in the impression, owing to the heat transfer from the molded product to the tool. The tool temperature influences the rate at which heat is transferred from the polymer melt to

the tool. The differences in heat transfer rate influence polymer melt shrinkage, which in turn influences product density. This effect influences product weight, dimensions, micro-structure and surface finish. The tool cavity surface temperature is critical to the processing and quality of injection molded components. Each part of the product should be cooled at the same rate, which often means that non-uniform cooling must be applied to the tool. Thus, for example, cool water should be fed into the inner parts of the tool cooling system (particularly in the area of the gate) and warmer water should be fed into the outer parts. This technique is essential when molding flat components to close tolerances, or large components that include long melt flow lengths from the gating position. Tool design must thus preferably incorporate adequate temperature control zones (flow ways), to provide the desired tool temperature. Tool temperature control zones commonly use water for temperatures up to 100°C, above which oil or electrical heating is used.

Injection molding is one of the most sophisticated polymer processing operations, with machine costs typically ranging from US\$50,000 to well over US\$1,000,000 and tool costs ranging from \$10,000 to well over \$100,000. The vital operation of tool set-up is often not given the attention it deserves. If a machine is poorly set-up, then this will affect the cost of production, through cycle time and part rejection rates. Machine set-up is still regarded as a black art, reliant on the experience of a manual die setter (i.e. the person responsible for setting parameters on the injection molding machine to achieve acceptable quality production). In a typical injection molding manufacturing facility machine set-up is often overlooked with the

requirement to 'get parts out the door'. In this rush machine set-up is often done with inconsistent strategies as different die setters have their own personal views as to what constitutes an optimal set-up. Manufacturing facilities typically have a high staff turn-over on the shop floor, and so training and maintaining an adequate level of experience is also a high cost.

An object of the present invention is to provide substantially automated optimization of at least a part of the injection molding set-up process. It is a further object of the present invention to provide more consistent machine set-up in an automated manner throughout a manufacturing facility.

According, therefore, to the present invention there is provided a method for the automated optimization of an injection molding machine set-up process, said machine for manufacturing injection molded parts, including the steps of:

- (1) manufacturing one or more parts with said machine;
- (2) inspecting said parts for defects;
- (3) reducing injection stroke in response to flashing or increasing injection stroke in response to short shots;

and

- (4) reducing injection velocity in response to flashing or increasing injection velocity in response to short shots, wherein either step (4) is employed after step (3) if step (3) is found to have substantially no effect or substantially no further effect, or step (3) is employed after step (4) if step (4) is found to have substantially no effect or substantially no further effect, thereby reducing said defects.

Thus, if a machine setter observes that flashing or short shots are not eliminated by altering the injection stroke (or velocity), the set-up process may be improved by altering the injection velocity (or stroke).

5

The second invention also provides a method for the automated optimization of an injection molding machine set-up process, said machine for manufacturing injection molded parts and including an injection screw and a configurable injection velocity, including the steps of:

10

(1) manufacturing one of more parts with said machine;

(2) determining an injection pressure profile by measuring injection pressure as a function of elapsed injection time with said machine configured with a

15

substantially constant, desired injection velocity;

(3) measuring injection velocity as a function of elapsed injection time and determining a profile of said measured injection velocity;

20

(4) defining a mean pressure profile from said pressure profile in a regime of substantially constant measured injection velocity profile;

25

(5) adjusting said velocity profile over at least a portion of an injection velocity phase in response to said pressure profile to reduce differences between said pressure profile and said mean pressure profile, thereby tending to lessen irregularities in said pressure profile.

Preferably step (5) is performed only in said regime.

30

Preferably steps (1) and (2) are repeated a plurality of times to obtain a plurality of measurements of injection pressure profile and said injection pressure profile is determined from a mean of said measurements.

Preferably steps (1) to (5) are repeated a plurality of times, thereby progressively refining said velocity profile.

5 Thus, the velocity profile can be progressively adjusted to reduce or eliminate irregularities in the pressure profile. The step of adjusting the velocity profile may be repeated to further reduce such irregularities, to whatever tolerance is required.

10

Preferably step (5) comprises increasing said injection velocity where said pressure profile is less than said mean pressure profile, and decreasing said injection velocity where said pressure profile is greater than said mean
15 pressure profile.

Preferably said mean pressure profile is linear.

20

Preferably said pressure profile is in the form of a derivative pressure profile, obtained by differentiating said pressure profile with respect to time.

25

Thus, the method is preferably performed with the time derivative of the pressure, rather than the pressure itself.

30

Preferably said method includes determining a relationship between the injection velocity and said pressure profile by perturbing said injection velocity about a predetermined velocity.

Preferably said relationship includes compensation for melt viscosity changes.

Preferably said viscosity changes include viscosity changes owing to melt pressure and temperature changes.

Thus, the response of the pressure profile to changes to
5 the injection velocity can be determined by performing test injections over a narrow range of injection velocities.

Preferably the perturbation of said injection velocity is by predetermined amounts, and more preferably the
10 perturbation of said injection velocity is by $\pm 10\%$ and/or $\pm 20\%$.

Preferably said pressure profile is derived from hydraulic injection pressure. Alternatively said pressure profile is
15 derived from melt flow pressure.

Preferably the method includes determining a viscosity model by performing a material test of the injection melt material.

20 Thus, for non-Newtonian plastics (in reality all plastics) the prediction of the response of the pressure profile to changes in the velocity profile can be improved if the viscosity is first measured.

25 The present invention further provides a method for the automated optimization of an injection molding machine set-up process, said machine for manufacturing injection molded parts and including an injection screw and a configurable
30 injection velocity, said screw having a displacement, including the steps of:

(1) manufacturing one or more parts with said machine;

(2) defining as a first pressure the end of velocity control phase pressure and as a second pressure the holding time pressure;

5 (3) defining a linear relationship between packing/holding pressure and time consistent with said first pressure and said second pressure, between said first pressure and said second pressure;

10 (4) defining said packing time as a time of maximum difference between measured melt pressure and said linear relationship, or as the switchover point if measured melt pressure increases after the switchover point;

(5) determining a first screw displacement being the minimum displacement of said screw before said packing time within a packing/holding phase and a second screw
15 displacement being the displacement of said screw at said packing time; and

(6) calculating said kickback from the difference between said first and second screw displacements, thereby allowing a determination of said kickback from measurements
20 of said screw displacement at packing time.

Thus, maximum kickback—or the negative or backward movement of the screw at the velocity to pressure transfer point—may be determined from the screw displacement at packing time.

25

The present invention still further provides a method for the automated optimization of an injection molding machine set-up process, said machine including an injection screw, including the steps of:

30 (1) setting an initial packing/holding pressure to a default low pressure;

(2) performing at least a partial injection cycle;

- 11 -

(3) determining kickback from changes in screw displacement during said at least partial injection cycle;

(4) incrementing said initial packing/holding pressure; and

5 (5) repeating steps (3) and (4) if kickback is unacceptably high until kickback is reduced to a predetermined acceptable level, or initial packing/holding pressure reaches maximum machine pressure.

10 Preferably the initial packing/holding pressure is between 5% and 25% of end of velocity control phase pressure, and a substantially uniform packing pressure is used, and more preferably the initial packing/holding pressure is approximately 10% of end of velocity control phase
15 pressure.

Preferably the initial packing/holding pressure is incremented by between 2% and 25% of said end of velocity control phase pressure, and more preferably the initial
20 packing/holding pressure is incremented by approximately 5% of said end of velocity control phase pressure.

In one preferred embodiment, the method includes measuring kickback for a plurality of initial packing/holding
25 pressures, predicting an optimum initial packing/holding pressure from said measurements to minimize kickback, and incrementing said initial packing/holding pressure to said optimum initial packing/holding pressure.

30 In another aspect the present invention provides a method for the automated optimization of an injection molding machine set-up process, said machine for manufacturing

injection molded parts and including an injection screw, including the steps of:

(1) defining a holding time equal to a predetermined default value;

5 (2) performing at least a partial injection cycle;

(3) measuring a pressure stroke being the change in displacement of said screw between packing time and said holding time;

(4) incrementing said holding time;

10 (5) repeating steps (3) and (4) until said pressure stroke stabilizes or a part so produced is acceptable;

(6) defining a linear relationship between screw displacement and time consistent with screw displacement at said packing time and at said holding time, between said packing time and said holding time;

15 (7) defining a gate freeze time as a time of maximum difference between said screw displacement and said linear relationship, thereby providing a value for said gate freeze time from measurements of said screw displacement.

20

Preferably the method includes the additional steps of:

(8) repeating steps (6) and (7), and defining an initial solidification time between said packing time and said gate freeze time;

25 (9) repeating steps (6) and (7), and defining an intermediate solidification time between said packing time and said initial solidification time; and

(10) determining an intermediate pressure from the ratio of the screw displacements at said intermediate time and at said gate freeze time, referenced to said packing time.

30

Preferably the value of said holding time employed in step (6) is greater than that defined in step (1) by a factor of between 1 and 3.

- 5 Preferably said predetermined default value is the greater of 2 times injection time and one second.

Preferably said stabilization occurs when said pressure stroke changes by less than a predetermined tolerance
10 between successive measurements.

Preferably said holding time is incremented in step (4) by between 5% and 50%, and more preferably by approximately 20%.

15 Preferably said predetermined tolerance is between 2% and 10%, and more preferably approximately 5%.

In one embodiment the present invention provides a method
20 for the automated optimization of an injection molding machine set-up process, said machine for manufacturing injection molded parts and including an injection screw and a configurable injection velocity, including the steps of:

- (1) determining an optimum fill including:
- 25 (i) manufacturing one or more parts with said machine;
- (ii) inspecting said parts for defects;
- (iii) reducing injection stroke in response to flashing or increasing injection stroke in
30 response to short shots; and
- (iv) reducing injection velocity in response to flashing or increasing injection velocity in response to short shots, wherein either step

- 14 -

(iv) is employed after step (iii) if step (iii) is found to have substantially no effect or substantially no further effect, or step (iii) is employed after step (iv) if step (iv) is found to have substantially no effect or substantially no further effect, thereby reducing said defects;

(2) determining an optimum injection velocity profile, including:

- (i) manufacturing one of more parts with said machine;
- (ii) determining an injection pressure profile by measuring injection pressure as a function of elapsed injection time with said machine configured with a substantially constant, desired injection velocity;
- (iii) measuring injection velocity as a function of elapsed injection time and determining a profile of said measured injection velocity;
- (iv) defining a mean pressure profile from said pressure profile in a regime of substantially constant measured injection velocity profile;
- (v) adjusting said velocity profile over at least a portion of an injection velocity phase in response to said pressure profile to reduce differences between said pressure profile and said mean pressure profile, thereby tending to lessen irregularities in said pressure profile.

(3) modifying a post-velocity control phase intermediate set-up obtained after steps (1) and (2) in response to quality defects detected in said parts manufactured with said intermediate set-up to reduce said defects;

(4) a method of reducing kickback to an acceptable level to determine a critical packing/holding pressure, including:

- 5 (i) setting an initial packing/holding pressure to a default low pressure;
- (ii) performing at least a partial injection cycle;
- (iii) determining kickback from changes in screw displacement during said at least partial
10 injection cycle;
- (iv) incrementing said initial packing/holding pressure; and
- (v) repeating steps (iii) and (iv) if kickback
15 is unacceptably high until kickback is reduced to a predetermined acceptable level, or initial packing/holding pressure reaches maximum machine pressure.

(5) deducing material solidification time from measurements of screw displacement to determine an optimal
20 packing/holding pressure profile, including:

- (i) defining a holding time equal to a predetermined default value;
- (ii) performing at least a partial injection cycle;
- 25 (iii) measuring a pressure stroke being the change in displacement of said screw between packing time and said holding time;
- (iv) incrementing said holding time;
- (v) repeating steps (iii) and (iv) until said
30 pressure stroke stabilizes or a part so produced is acceptable;
- (vi) defining a linear relationship between screw displacement and time consistent with

screw displacement at said packing time and at said holding time, between said packing time and said holding time;

(vii) defining a gate freeze time as a time of maximum difference between said screw displacement and said linear relationship, thereby providing a value for said gate freeze time from measurements of said screw displacement;

(6) modifying a post-pressure control phase preliminary set-up obtained after (1) to (5) in response to defects detected in said parts manufactured with said preliminary set-up to reduce said defects.

Preferably step (iii) of step (4) includes determining kickback from measurements of said screw displacement at packing time, including the steps of:

(a) manufacturing one or more parts with said machine;

(b) defining as a first pressure the end of velocity control phase pressure and as a second pressure the holding time pressure;

(c) defining a linear relationship between packing/holding pressure and time consistent with said first pressure and said second pressure, between said first pressure and said second pressure;

(d) defining said packing time as a time of maximum difference between measured melt pressure and said linear relationship, or as the switchover point if measured melt pressure increases after the switchover point;

(e) determining a first screw displacement being the minimum displacement of said screw before said packing time within a packing/holding phase and a second screw

displacement being the displacement of said screw at said packing time; and

- (f) calculating said kickback from the difference between said first and second screw displacements, thereby allowing a determination of said kickback from measurements of said screw displacement at packing time.

Preferably step (5) includes the additional steps of:

- (viii) repeating steps (vi) and (vii), and defining an initial solidification time between said packing time and said gate freeze time;

(ix) repeating steps (vi) and (vii), and defining an intermediate solidification time between said packing time and said initial solidification time; and

- (x) determining an intermediate pressure from the ratio of the screw displacements at said intermediate time and at said gate freeze time, referenced to said packing time.

In each of the above aspects of the present invention, the method preferably includes:

determining said machine's velocity control response time, and

- employing time steps equal to or greater than said response time.

Preferably said time steps are greater than 1.5 times said response time, and more preferably equal to 2 times said response time.

30

In the above aspects of the present invention, nozzle melt pressure, injection cylinder hydraulic pressure, forward propelling force applied to said screw, or any other

measure proportional to or equal to said nozzle melt pressure may be used as a measure of, in place of, or to determine, injection pressure.

- 5 Preferably said injection cylinder hydraulic pressure is used as a measure of or to determine said injection pressure.

10 In order that the invention may be more clearly ascertained, preferred embodiments will now be described with reference to the accompanying drawings, in which:

Figure 1 is a schematic representation of the automated machine optimization method according to a preferred embodiment of the present invention;

- 15 Figure 2 is a graph illustrating schematically the influence of velocity and velocity stroke on the filling process; and

Figure 3 depicts a typical pressure profile resulting from a pressure profiling method according to a preferred
20 embodiment of the present invention.

The present invention (referred as to as Automated Molding Optimization or AMO) is used in the setting up the injection/filling velocity and packing/holding pressure
25 profiles. Other injection molding machine parameters, including barrel temperatures, mold temperatures, cooling time and screw rotational velocity are presently the responsibility of the die setter.

- 30 The fundamental principle of AMO's velocity optimization is to profile regarding an inferred mold geometry, derived from the pressure differential. Pressure phase optimization is used to profile regarding an inferred

polymer solidification, derived for a precise measurement of screw displacement. AMO determines machine and material characteristics in-line from the machine without the need for user interaction, resulting in optimized profiles that are 'in-phase' with the machine dynamics, material and mold geometry.

Figure 1 is a flow chart summarizing the role of the AMO method according to a preferred embodiment. In figure 1, the various inputs are Computer Aided Engineering (CAE) model 10, Machine Information 12, Material Information 14, Processing Conditions 16a and 16b, and Estimates of Velocity and Velocity Stroke 18. The inputs are employed in an optimization stage (MF/OPTIM or "Moldflow Optimization"). Feedback on the design of the part is indicated with a dashed line 20.

The preferred embodiment AMO method has six process optimization phases:

1. Velocity and velocity stroke, based on a single-step constant velocity;
2. Injection/Filling Velocity profiling;
3. Velocity defect elimination;
4. Packing pressure magnitude determination;
5. Gate freeze determination and pressure profiling;
6. Pressure phase defect elimination.

In general, if the screw gets too close to bottoming out, the screw charge profile is shifted back. This takes two shots, since the first may not plasticate to the new position. If the cycle time is too long AMO will ignore the cycle.

These six phases are summarized as follows:

1) Determination of velocity stroke and velocity settings:

This phase assumes that a substantially uniform velocity profile is used, and that the tool can be adequately filled

5 using such a profile. The rules used within this phase converge on settings that produce a 'good part', if a poor estimate of the velocity stroke or volume is input. A 'critical fill' velocity stroke is determined, to ensure that no packing occurs during the velocity controlled
10 injection stage. The critical fill is the point at which the part is only just filled. Sometimes the polymer within the cavity is overfilled, but does not show any visible defects. The initial velocity profile is generated from:
i) an estimate of the velocity stroke, entered directly or
15 as a part volume, and ii) velocity, typically 50% of the machine's maximum capability. The charge stroke is initially set equal to approximately $1.1 \times$ velocity stroke. This phase requires user feedback after each part manufactured. At this stage, other velocity related and
20 pressure phase related defects are ignored.

2) The first procedure in this phase is to determine an estimate of the relationship between injection velocity and the mean differential of the nozzle melt pressure profile. The nozzle melt pressure may be derived from hydraulic
25 injection pressure multiplied by a screw intensification ratio. The injection velocity is perturbed about the velocity from phase 1, by predefined percentages, for example $\pm 10\%$, $\pm 20\%$. The next phase is to determine the nozzle pressure profile, for stable processing conditions,
30 obtained using a uniform velocity profile, and then differentiate the profile. Machine response time is determined from the velocity profile. Using the pressure differential information during the velocity stage an

- 21 -

optimized velocity profile is obtained. The profile is generated in two stages runner and cavity, and combined using a response check.

- 3) This phase involves velocity related defect elimination. The main objective is to vary the velocity profile to achieve a part with no velocity related defects. Velocity related defects are corrected. Defects include jetting, delamination, gloss marks, burn marks, weld lines, flash etc. Comment: The user simply selects the defect.
- 10 In the case of conflicting defects, it is required to converge on a compromise point. One part (good quality immediately) is the minimum, the maximum depends on the user's assessment. Three parts is often typical.
- 4) This phase determines a critical packing pressure, i.e. a pressure level that will help to eliminate back flow of material, out of the cavity. The approach is to start low and increase the pressure until the desired level is reached.
- 15 5) This phase determines an inferred gate freeze, initial solidification and intermediate times. The times are determined by precisely monitoring the screw movement with a uniform pressure profile applied. Gate freeze time and initial solidification time is found, and the packing/holding profile is generated. This process does
- 20 not require the weighing of any molded parts. We infer the cavity pressure from non-cavity sensors, specifically hydraulic pressure and screw movement.
- 25 6) This phase involves pressure related defect elimination. The main objective is to vary the pressure profile to achieve a part with no pressure related defects. Pressure related defects are assessed. These are flash, sink, warpage, and dimensional tolerance (too large/too small).
- 30

Phases 1 to 3 are initiated with zero or a very low packing pressure, typically only for 1 second.

These six phases are described in more detail as follows.

5

Phase 1:

This phase comprises the determination of velocity stroke and velocity settings. A constant velocity profile that results in a full part is found. All defects (apart from flash and short shot) are ignored.

10

The pressure profile is initially set to substantially zero.

15

Phase 1.1: User Estimation

The user is asked to provide an estimate of the part volume. The volume should be easily obtained from the die maker. The volume is divided by the area of the screw to give a velocity stroke; alternatively, the die setter can estimate the velocity stroke directly. An accurate estimate of part volume may also be obtained from a Computer Aided Engineering (CAE) model.

20

25

The estimated velocity stroke is compared with the maximum stroke of the machine to ensure the machine is a reasonable size for the part being made. The following checks are made:

30

charge stroke > maximum stroke
velocity stroke > 90% maximum stroke
velocity stroke < 5% maximum stroke

The user also estimates the screw velocity. The velocity could be estimated by a 2D flow analysis, but at present this is seen as unwarranted, as the user would have to enter more information (e.g. material information, length
5 of dominant flow path). Further, the user can be expected to have a reasonable idea of the correct velocity to use from their experience.

A flat filling profile is generated from these estimates;
10 the VP point is configurable as a percentage of the estimated velocity stroke (default is 20%).

Phase 1.2: Optimization of Estimation

15 This phase aims to refine the user's estimate of the stroke so that a full (not flashed or short) part is made. Throughout the steps below configurable adjustment parameters are used. After each change to the set points a configurable number of parts are made to try to ensure
20 steady state conditions.

The method of this phase was developed from the discovery of a relationship between injection velocity and velocity stroke, and the optimization of the material fill. This
25 relationship is depicted schematically in figure 2.

The following steps summarize this phase:

1. A part is made, and feedback about the part quality is requested from the user.
- 30 2. If the part is short, the stroke is increased by moving the VP changeover point.
3. If the part is flashed, the stroke is decreased by moving the VP changeover point.

4. If the part is both short and flashed, the user is asked for more feedback: if the user thinks that there is melt freeze-off, the velocity is increased and the stroke reduced, otherwise the opposite occurs.
- 5 5. If the part is full, this phase is complete.
6. A part is made with the new set-points, but this time the user has the opportunity to specify that no improvement occurred. If the user specifies 'No Improvement', the following steps 7 to 9 are followed.
- 10 7. If the previous response was 'short', then velocity and stroke are increased. This allows for the short to have been caused by melt freeze off.
8. If the previous response was flash, then velocity and stroke are decreased
- 15 9. If the previous response was flash and short, the velocity is decreased and the stroke increased. The changes are made twice to make up for the previous (now known to be incorrect) modifications.
10. If the user does not specify 'No improvement', but
- 20 instead repeats the previous quality assessment, then the previous set-point modifications are repeated.
11. If the user specifies short shot when previously specifying flash (or vice versa), the adjustment factor is halved to allow the set-points to converge. A configurable
- 25 minimum adjustment factor is used to prevent adjustments becoming insignificant.
12. If velocity stroke increases cause the VP changeover point to be less than a configurable percentage of the velocity stroke, the charge stroke is increased before the
- 30 next part is made.
13. When the charge stroke is increased, the next part is ignored, since the injection molding machine may have finished plasticating to the now incorrect position.

14. If no improvement is selected on three consecutive occasions, the procedure halts and the user asked to modify melt/mold temperatures.

5 Phase 1.3: Obtaining Critical Fill

After phase 1.2 is complete, a full part exists. However, the part may be overfilled, which is often the cause of internal stresses. It will also require an overly high
10 packing/holding pressure to eliminate kickback. This phase attempts to eliminate this problem by obtaining a state of 'critical fill'.

Firstly, the stroke is reduced, as though the user had
15 indicated flash. This is repeated each time the user indicates a full part. Eventually, a point is reached where the stroke is small enough to cause a short shot to occur. When the user indicates short shot, the stroke is increased (it should be noted that the change in stroke is
20 smaller than previously due to convergence). When the part regains 'fullness', critical fill has been achieved.

Phase 2: Injection/Filling Velocity Profiling

25 This stage puts 'steps' into the velocity profile. These steps help maintain a constant flow front velocity, which in turn minimizes internal stresses in the molded part. Weightings are imposed on the raw velocity profile found to ensure it slows at the end of fill, which is known to
30 improve burn marks, and at the runner (to prevent jetting).

This phase is employed after phase 1, and if the velocity profile is of constant velocity and pressure (nozzle or

hydraulic) and displacement transducer data are filtered and available.

It is assumed that the displacement at which inflection points in the pressure curve are located does not change significantly when the velocity is altered.

Prior to calculating the velocity profile, the pressure information from a number of parts is stored and then averaged, in an attempt to smooth out deviations between cycles. A number of parts may also be ignored before this averaging takes place to achieve steady state conditions; both the number of parts to average and the number to ignore are configurable, with defaults of 1 and 0 respectively.

Phase 2.1: Determination of Material Properties

If AMO is to profile the velocity control, then it is necessary to know how large to make the steps. Thus, it is necessary to determine the relationship between the velocity set-point and the magnitude of $\frac{dp}{dt}$. For example, if $\frac{dp}{dt}$ must be increased by 10%, this relationship is required in order to determine how high the velocity step should be.

The following steps are taken to determine the relationship between velocity and $\frac{dp}{dt}$:

1. The percentage velocity deviations are read from the configuration file;

- 27 -

2. The velocity is altered, a part is made, and the mean magnitude of the $\frac{dp}{dt}$ response (during velocity control) is recorded;

3. If more experiments are required, the velocity is altered according to the next percentage in the configuration file, and step 2 is repeated. If not, the velocity is reset to the user's estimate, and step 2 is repeated one last time.

4. Linear regression is used to find an equation relating the mean $\frac{dp}{dt}$ values recorded to the velocity set-points used.

Phase 2.2: Determination of Displacement Induction Time

Recorded data before the induction time should be ignored, since essentially nothing is happening, so it is necessary to determine the displacement induction time, which is a measure of the time required for the screw to commence movement after the data acquisition system receives an injection start signal.

The displacement induction time is found when the displacement data indicates the screw has moved beyond a small threshold distance. The threshold is calculated as a percentage of the charge stroke (e.g. 0.1%); this threshold should be typical of the noise level of displacement transducers.

Phase 2.3: Determination of Pressure Induction Time

Similarly, the pressure induction time is a measure of how long it takes pressure to begin increasing after the data acquisition system receives an injection start signal. This may be longer than the displacement induction time if decompression is used at the end of plasticisation.

The pressure induction time is found when the pressure data indicates the screw has increased above a certain small threshold above the initial pressure (this allows for transducer zero error). The threshold is calculated as the minimum of a percentage (e.g. 0.1%) of the maximum machine pressure and an absolute pressure value (e.g. 0.1 MPa). This threshold approximates the noise level on pressure transducers.

Phase 2.4: Determination of Machine Response Time

The injection molding machine cannot follow steps in the velocity profile if the steps are too short. This minimum time is defined in terms of the machine response time. Hence, it is necessary to determine the machine response time, which is a measure of the time required by the screw to obtain a given velocity.

The response time is simply the time at which the velocity data exceeds 85% of the target velocity.

Phase 2.5: Determination of Pressure Derivative (wrt Time)

As discussed above, it is desirable to keep the flow front velocity reasonably constant by introducing steps into the

velocity profile. The size and location of these steps is based upon the $\frac{dp}{dt}$ calculations. The quantity $\frac{dp}{dt}$ provides an indication of the part geometry as seen by the advancing flow front. When $\frac{dp}{dt}$ increases, the flow front is faced
5 with a narrowing in the cross-sectional area of the cavity.

A 33 point Savitsky-Golay smoothing filter is used to smooth the pressure information. The square root of all pressure information is taken. This allows for large $\frac{dp}{dt}$
10 values increasing at much faster rate when velocity is increased than average $\frac{dp}{dt}$ values. It should be noted that in Phase 1 there is calculated a linear relationship between mean $\frac{dp}{dt}$ and the velocity set-point. The quantity $\frac{dp}{dt}$ is calculated by subtracting the next pressure value by
15 the current pressure value, and dividing by the sampling period.

Phase 2.6: Determination of Gate Time

20 Knowledge of when the flow front reaches the gate allows the method to have separate velocity profile steps for the runner system. The 'gate time' is thus the time at which the flow front reaches the gate.

25 The gate time is taken as the maximum of the three calculations detailed below. The maximum is used to

attempt to ensure that a point away from the initial $\frac{dp}{dt}$ 'hump' is found.

1) $\frac{dp}{dt}$ 'zero time': Between the induction time and 50% of

the injection time, $\frac{dp}{dt}$ is checked to see when it falls

5 below zero. The gate time is the point at which it rises back above zero;

2) $\frac{dp}{dt}$ 'low time': the maximum $\frac{dp}{dt}$ between the induction

time and 50% of the fill time is found. The mean $\frac{dp}{dt}$ between the time at which this maximum occurs and the end

10 of the fill time is found. Where $\frac{dp}{dt}$ first falls below this mean is the gate time. Note that the low time is always less than the zero time, so this calculation is only made if $\frac{dp}{dt}$ never falls below zero; and

3) Velocity stabilization time: Between 70% of the fill
15 time back to the induction time, a moving average (over a three-point window) of the velocity data is calculated. The gate time falls where the moving average is outside $(\mu_{vel} \pm 12\sigma_{vel})$, where μ_{vel} and σ_{vel} are calculated during an assumed steady state portion of the velocity data (e.g.
20 between 70% and 90% of filling time). In other words, the method looks for the point at which the velocity first becomes stable, with an upper limit of 70% of the filling time imposed.

Phase 2.7: Determination of Stepped dp/dt Profile

As discussed above, it is desirable to keep the flow front velocity reasonably constant by introducing steps into the velocity profile. The steps in the velocity profile should correspond to the cross-sectional area of the cavity, which in turn should have a strong relationship with the stepped $\frac{dp}{dt}$ profile. The stepped $\frac{dp}{dt}$ profile approximates the $\frac{dp}{dt}$ calculations (after the gate time) as a series of steps.

The number of steps is limited by a configurable limit, and the size of the steps need not depend on the machine response time.

The maximum of $\frac{dp}{dt}$ between the gate time and the end of filling is found. A configurable percentage (e.g. 10%) of the maximum $\frac{dp}{dt}$ value Δ is calculated. Step number n is initialized to 0, and data count indices i and k to the induction time and zero, respectively. Index i is used to store the start position of each step in the $\frac{dp}{dt}$ data, and k is used to iterate through the data within each step. An initial $\frac{dp}{dt}$ value sum is stored for time = $i+k$.

If $|sum/k - \frac{dp}{dt}[i+k+1]| > \Delta$, then the profile step n is set equal to sum/k , n is incremented, i set to $i+k$, and the method returns to phase 2.4. Otherwise, sum is increased by $\frac{dp}{dt}[i+k+1]$, k is incremented, and the method returns to the start of this phase (2.7) unless $k = \text{fill time}$. The method reaches this stage when $k = \text{fill time}$. The final

profile step = sum / k, and any negative profile steps are set to zero.

Phase 2.8: Determination of Stepped Velocity Profile

5

Stepped velocity profiles can be entered into machine controllers as set-points, and should try to maintain a constant flow front velocity as the polymer moves into the cavity. The velocity profile determined in this section is

10 based on the stepped $\frac{dp}{dt}$ profile determined by the previous phase, and does not take into account machine response time.

From the stepped $\frac{dp}{dt}$ pressure profile, the following
15 parameters are calculated:

1. Mean $\frac{dp}{dt}$

2. Maximum $\frac{dp}{dt}$

3. Minimum $\frac{dp}{dt}$

4. For each step n in the $\frac{dp}{dt}$ profile, the corresponding
20 velocity step, where:

$$\text{velocity}_n = (\text{mean } \frac{dp}{dt} - \frac{dp}{dt}_n) / (\text{max } \frac{dp}{dt} - \text{min } \frac{dp}{dt})$$

This gives the velocity profile scaled about 1, where 1 is the mean velocity (the user's estimate).

25

Phase 2.9: Determination of Runner Velocity

The runner velocity is the first step in the velocity profile. The runner velocity is chosen using the ratio of the maximum $\frac{dp}{dt}$ between the induction time and the gate time, and the mean pressure of the stepped pressure profile (see Phase 2.7: Determination of Stepped dp/dt Profile). As the ratio increases, the runner velocity decreases; the ratio is limited so that the runner velocity is never less than the mean velocity after the gate.

Runner velocity = $1 - 0.1 \times (\max \frac{dp}{dt} / \text{mean of stepped pressure profile})$

Phase 2.10 Determination of End of Fill Velocity

A standard die setters' heuristic is to slow the velocity toward the end of fill. This helps prevent air becoming trapped within the cavity, and therefore helps prevent burn marks. It also helps ensure the part is not overfilled, and allows for a smoother transition into the packing/holding phase. The end of fill velocity is the last step in the velocity profile. The default is the last 10% of fill, though this is configurable.

A ratio of $\frac{dp}{dt}$ during the end of fill segment compared with $\frac{dp}{dt}$ in the 10% of fill immediately prior is calculated. If this ratio is high, the velocity at end of fill will be low, but limited to 50% of the prior velocity. If the ratio is low (i.e. $\frac{dp}{dt}$ decreases at end of fill) the last

velocity step is limited to the immediately preceding velocity, i.e. the velocity is not increased at end of fill.

5 Phase 2.11 Compensating for Response Time

The stepped velocity profile determined in the previous phase assumes the machine has infinitely fast response to changes in the set point. Of course, this is not
10 realistic, and so steps should be lengthened to take the actual response time into account. Steps close together in magnitude are merged since the difference is likely to be overwhelmed by the error in the controller. If such small differences were left in the velocity profile the algorithm
15 would lose credibility. A maximum number of steps are specified since nearly all IMM controllers on the market today are limited in this way.

This phase lengthens the step size of the velocity profile
20 calculated in the previous phase if they are less than the response time calculated in Phase 2.4: Determination of Machine Response Time. Furthermore, steps that are closer together in magnitude than the desired threshold are merged. If at the end of this process there are more steps
25 than allowed, this process is repeated with a larger response time and a larger threshold.

Each step in the velocity profile is merged with the next step, if the length of the step is less than the response
30 time. The steps are merged until the merged step length is greater than or equal to the response time. The resulting step has a velocity corresponding to the weighted velocity

of the two steps. For example:

$$\text{newVelocity} = (\text{time1} \times \text{velocity1} + \text{time2} \times \text{velocity2}) \\ / (\text{time1} + \text{time2})$$

This process is repeated until all steps have been checked
5 for response time.

If the duration of the last step is too short, it is merged
with the second last step. The profile is rescaled to the
previous maximum and minimum. This rescaling may be
10 limited by a configuration file parameter so that small
steps are not blown out of proportion. The rescaling also
maintains 1 (the user's estimate) as the mean value. The
magnitude of each velocity step is compared against the
magnitude of the next step. If the difference is less than
15 10% of the maximum velocity, the steps are merged as
described above, and the profile rescaling is returned to.
The number of steps in the profile is checked. If it is
greater than the maximum number allowed, this stage is
repeated with a response time 20% longer, and a velocity
20 difference threshold of 20% instead of 10%.

Phase 2.12 Converting Time to Displacement, and Velocity to Physical Units

25 Most injection molding machine controllers accept velocity
profiles in terms of screw displacement (rather than time).
Also, the velocity values are currently normalized, and
need to be scaled to physical units (e.g. mm/s) before they
can be passed to an IMM controller.

30

A conversion factor, α , is calculated using the
relationship found in Phase 1. For each velocity step n :

$$\text{velocity}_n = \text{user velocity estimate}$$

$$\times ((\text{velocity}_n - 1) \times \alpha + 1)$$

The result is in S.I. units (m/s).

5 To convert times to displacements, a conversion factor—
between the set-point velocity stroke and the number of
samples during filling—is calculated. The conversion
factor does not have to take into account velocity
magnitudes earlier in the profile being different to those
10 used when the part was made, since the velocity step
changes should be relative to the flow front position, not
the time at which they occurred.

Set the displacement of each step from the charge stroke
using the conversion factor:

15 $\text{displacement}_n = \text{charge stroke}$
 $- \text{conversion factor} \times \text{step sample number}_n$

Phase 3: Velocity Defect Elimination

20 At this point, the magnitude of the velocity steps is an
arbitrary percentage of the maximum velocity of the machine
(although they should be approximately correct relative to
each other). As a result, molding defects could occur.
This stage attempts to rectify the defects related to the
25 velocity profile by executing heuristics in response to
user feedback.

There are two prerequisites: firstly that one part has been
made with the velocity profile from phase 2, and secondly
30 that user feedback has been supplied regarding the quality
of the part produced. The feedback is one of the following
defects: no defect, flash, short shot, weld, burn, jetting,
streak, gloss, delamination, and record grooves.

It is assumed that changing the average magnitude of the velocity set-point does not effect the position of inflection points in the pressure curve.

- 5 The following responses are made to each defect, in making another molding to ensure good quality.
1. Flash: Decrease all velocity steps by a multiplier.
 2. Short: Increase all velocity steps by multiplier
 3. Weld: Same as short.
 - 10 4. Burn: The user is asked for more information; is the burn mark near the gate, all over, or near the end of fill. If the burn is all over, decrease all velocity steps. If the burn is near the end of fill, reduce the velocity of the screw at all points in the last 25% of the filling profile.
15 Burn marks near the gate are treated in a similar fashion, except the first 25% of velocity points are altered.
 5. Jetting: decrease all velocity points in the first 25%
20 of the velocity profile.
 6. Streak marks: as for burn marks, except the user gets a choice of 'all over' or 'end of fill'.
 7. Gloss marks: increase the entire velocity profile by a multiplier.
 - 25 8. Delamination: decrease the entire velocity profile by a multiplier
 9. Record Grooves: As for gloss marks.

The rule base fails if the desired action cannot be taken;
30 in this event the user is informed of the situation and given advice on how to solve it (via on-line help).

Phase 4: Obtaining the Correct Packing Pressure

At this point, the injection molding machine is using a default low pressure. The correct level of pressure to use during the pressure control stage that avoids kickback is desired. This stage does this, but does not profile the pressure control set-points, or find the time that pressure control should be maintained.

There are three prerequisites: firstly that Phase 3 has completed successfully, secondly that the maximum packing pressure is known, and thirdly that steady state conditions prevail.

Phase 4.1: Initial Pressure Control Set-points & Velocity Stroke Reduction

The pressure control time is set to twice the injection time (or 1 s, whichever is greater), the pressure level is 5% of the end of fill pressure, and a 'rectangular' shape pressure profile is used.

Further, to ensure the melt is not compressed during filling, the velocity stroke is reduced by 2%, in line with current molding practice.

Phase 4.2: Determination of Kickback

Kickback is defined as the distance travelled by the screw in the reverse direction to injection during pressure control after the packing time. This is caused by the pressure control set-point being less than the back pressure exerted by the melt in front of the screw.

It is desirable to eliminate kickback to avoid polymer flowing out of the cavity, which is known to be a cause of sink marks, warpage and other dimensional problems.

5

The maximum kickback displacement is found by finding the packing time. The kickback is then the distance from the minimum displacement before the packing time to the displacement at the packing time. If the kickback is not negative, it is set to zero.

10

The first task is to determine the packing time by examining the nozzle melt pressure (or the hydraulic pressure). The equation of a straight line from the pressure at the v/p switchover point time to the pressure at the hold time is calculated, and then the time at the maximum difference between the straight line and the recorded pressure curve is the packing time.

15

However, a pressure increase after v/p switchover indicates that no kickback has occurred. In this case, the packing time is the v/p switchover point. This does not mean that the packing time is always at the v/p switchover point when no kickback occurs.

20

25

Phase 4.3: Kickback Elimination

30

This procedure is employed where kickback is greater than zero. If there is no kickback, the pressure level is acceptable.

The initial packing/holding pressure is increased by 5% of the end of velocity control phase pressure (or 'end of fill

pressure'). Phase 4.2 is then repeated until the difference between kickback for the current shot and last shot is less than a configurable percentage, or until the maximum machine pressure is reached.

5

This procedure should not fail, as kickback will only occur if the fill pressure is significantly greater than the packing/holding pressure. Therefore, a suitable packing/holding pressure should be obtainable on this machine.

10

Phase 5: Estimating Holding Time

The gate pressure control time is determined by means of an end point fit between the 'pack' time and the 'search time' using data recorded up to the 'hold time'.

15

Phase 5.1 Determination of Gate Freeze Time and Holding Time

20

To this point, the holding time has been taken to be twice the injection time. This is an arbitrary value, and in most cases is too short. The aim of this stage, therefore, is to find a more accurate holding time, as short holding times can result in molding defects, such as sink marks, since the polymer will be able to flow back out of the cavity before solidification occurs. Further, although phase 5 estimates the gate freeze time, the procedure relies on the current holding time being longer than the gate freeze time. An arbitrarily long holding time can not be used since there is a slight risk of tool damage.

25

30

The holding time is increased by 50% of its current value each shot, until the forward movement of the screw between the packing time and holding time converges. Convergence is defined as a change of less than 5% in movement from one shot to the next. The current time is chosen (rather than the old time) to allow the gate freeze estimation to be more accurate. Sometimes the screw movement will not converge for a reasonable holding time, since there may be slippage on the check ring valve or the polymer behind the gate (e.g. in the runner system) may continue to compress after the gate has frozen. To prevent the holding time increasing without limit, a maximum of 30 s is used.

Phase 5.2: Pressure Profiling

Pressure profiling is designed to find the initial solidification time t_s and gate freeze time t_f , and an intermediate time, t_i , between these two. Further, the desired pressure P_i at t_i is calculated, while the pressure at t_f is set to zero, since any pressure applied after gate freeze time will have no effect on part quality after this time. Figure 3 shows the form of the resulting profile, where the point corresponding to t_s is indicated at 30, P_i and t_i at 32, t_f at 34 and the pressure level determined in the previous stage at 36.

Two prerequisites are that the pressure level and the holding time have been determined.

Profiling the pressure control set-points helps prevent over packing of the part as the polymer in the cavity cools, since the pressure will be applied to a smaller molten area as cooling progresses. The internal stress of

the part may also be improved, since a more similar force will be applied to each fraction of the cooling mass. The point at time t_i helps to more accurately estimate the cooling rate, since it is unlikely to be linear.

- 5 The gate freeze time t_f is determined using end point fits on the pressure and displacement data. An additional end point fit between the packing time and t_f over the displacement data gives t_s , and a final end point fit (again using displacement information) between t_s and t_f gives t_i . P_i is determined from the following calculation:
- 10

$$P_i = P_{orig} \left(\frac{D_{packtime} - D_{intermediatetime}}{D_{packtime} - D_{freezetime}} \right)$$

where $D_{packtime}$ is the screw displacement at the packing time,

- 15 $D_{intermediatetime}$ is the screw displacement at t_i ,
 $D_{freezetime}$ is the screw displacement at t_f , and
 P_{orig} is the pressure found in Phase 4.

- If the gate freeze time cannot be found, the original
20 pressure control time is used instead.

- Once the packing time is established, the displacement curve is analyzed to determine the gate freeze time. The search time is greater than or equal to the holding time.
- 25 It is determined by drawing a constant displacement line from the end of recorded data up to $3 \times (\text{hold time} - \text{packing time}) + \text{hold time}$, and also drawing a line extrapolated from the displacement curve between the 75% to 95% time locations (m_d).

The gradient of the resulting end point fit line (m_g) is then compared to m_d , and the search time is decreased until $m_g > k \times m_d$, where $1.3 \leq k \leq 3.5$ and preferably $k = 2$.

- 5 This technique allows a more accurate estimation of the gate freeze time without the actual holding time increasing.

10 Pack displacement is the distance moved by the ram after the packing time, and the gate freeze time is the maximum difference between the end fit line and the recorded displacement curve.

Phase 6: Removing Packing/Holding Related Defects

15

After Phase 5 is finished, there is still some possibility of quality defects remaining. However, the defects present should not be related to the velocity control (filling) phase, since these were eliminated in Phase 3. The defects that are related to the pressure control set-points are:

Flash

Warpage

Sink

Dimensional Tolerance

25

A simple rule base is used to eliminate the defects listed in the introduction. The rule base does not alter the shape of the profile—it is simply 'stretched and squeezed'. This rule base is:

30

Flash: Decrease the magnitude of the profile by 10%.

Warpage: Decrease the magnitude of the profile by 5%.

Sink: Increase the magnitude of the profile by 5%.

Also increase the pressure control time by 5%.

Dimensional Tolerance: If the part is too large, decrease the magnitude of the profile by 5%. If the part is too small, increase the magnitude by 5%.

5 In conclusion, AMO allows process optimization to be performed quickly by molders. The process optimization is 'in-phase' with the actual process, i.e. it compensates for specific machine dependent parameters, such as leakage from the check-ring, poor velocity control, utilizing the actual
10 processing conditions.

Thus, AMO:

- provides consistent machine set-up allowing operators with little diesetting experience to optimize machine
15 set-up;
- reduces the requirement for skilled labour, i.e. de-skills the set-up procedure;
- provides process optimization throughout molding facilities;
- 20 • provides better integration of mold design and part production, with a continuation of Moldflow's commitment to bring the benefits of simulation upstream into the world of the product designer and to link simulation downstream into the production environment; and
- 25 • provides easier installation on modern velocity controlled injection molding machines. Machine process information is obtained from standard machine transducers.

30 AMO optimizes velocity and pressure phase profiles. Velocity profiling assists in eliminating flashing, short shots, splay mark/gate blush/molecular stripping, streak marks/flow lines, delamination/flaking, gloss/gloss bands,

burning, jetting, sink marks and warpage. Velocity profiling also optimizes process repeatability, injection time and clamp force.

- 5 Pressure profiling assists in eliminating flashing, warpage, variation, sink marks and demolding. Pressure profiling optimizes critical dimensions and back flow of polymer.
- 10 Thus, AMO allows machine operators with little previous diesetting experience to set-up the injection molding machine in approximately 25 to 40 cycles. AMO will help eliminate most molding problems without the need for an experienced die setter. It automates the machine set-up
- 15 procedure by determining optimum processing conditions by the intelligent interpretation of in-line process measurements.

- 20 Modifications may be made to the invention as will be apparent to a person skilled in the art of injection molding and injection molding machine set-up methods. These and other modifications may be made without parting from the ambit of the current invention, the nature which may be ascertained from the foregoing description and the
- 25 drawings.

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A method for the automated optimization of an injection molding machine set-up process, said machine for manufacturing injection molded parts, including the steps of:

(1) manufacturing one or more parts with said machine;
(2) inspecting said parts for defects;
(3) reducing injection stroke in response to flashing or increasing injection stroke in response to short shots; and

(4) reducing injection velocity in response to flashing or increasing injection velocity in response to short shots, wherein either step (4) is employed after step (3) if step (3) is found to have substantially no effect or substantially no further effect, or step (3) is employed after step (4) if step (4) is found to have substantially no effect or substantially no further effect, thereby reducing said defects.

2. A method for the automated optimization of an injection molding machine set-up process, said machine for manufacturing injection molded parts and including an injection screw and a configurable injection velocity, including the steps of:

(1) manufacturing one of more parts with said machine;
(2) determining an injection pressure profile by measuring injection pressure as a function of elapsed injection time with said machine configured with a substantially constant, desired injection velocity;
(3) measuring injection velocity as a function of elapsed injection time and determining a profile of said measured injection velocity;

(4) defining a mean pressure profile from said pressure profile in a regime of substantially constant measured injection velocity profile;

5 (5) adjusting said velocity profile over at least a portion of an injection velocity phase in response to said pressure profile to reduce differences between said pressure profile and said mean pressure profile, thereby tending to lessen irregularities in said pressure profile.

10 3. A method as claimed in claim 2, wherein step (5) is performed only in said regime.

4. A method as claimed in either claim 2 or 3, wherein steps (1) and (2) are repeated a plurality of times to
15 obtain a plurality of measurements of injection pressure profile and said injection pressure profile is determined from a mean of said measurements.

5. A method as claimed in any one of claims 2 to 4, wherein
20 steps (1) to (5) are repeated a plurality of times, thereby progressively refining said velocity profile.

6. A method as claimed in any one of claims 2 to 5, wherein step (5) comprises increasing said injection
25 velocity where said pressure profile is less than said mean pressure profile, and decreasing said injection velocity where said pressure profile is greater than said mean pressure profile.

30 7. A method as claimed in any one of claims 2 to 6, wherein said mean pressure profile is linear.

8. A method as claimed in any one of claims 2 to 6, wherein said pressure profile is in the form of a

derivative pressure profile, obtained by differentiating said pressure profile with respect to time.

9. A method as claimed in any one of claims 2 to 8,
5 wherein said method includes determining a relationship between the injection velocity and said pressure profile by perturbing said injection velocity about a predetermined velocity.
10. A method as claimed in claim 9, wherein said
10 relationship includes compensation for melt viscosity changes.
11. A method as claimed in claim 10, wherein said
15 viscosity changes include viscosity changes owing to melt pressure and temperature changes.
12. A method as claimed in any one of claims 9 to 11,
20 wherein said perturbation of said injection velocity is by predetermined amounts.
13. A method as claimed in claim 12, wherein said
perturbation of said injection velocity is by $\pm 10\%$ and/or $\pm 20\%$.
- 25 14. A method as claimed in any one of claims 2 to 13, wherein said pressure profile is derived from hydraulic injection pressure.
- 30 15. A method as claimed in any one of claims 2 to 13, wherein said pressure profile is derived from melt flow pressure.
- 35 16. A method as claimed in any one of claims 2 to 15, wherein said method includes determining a viscosity model

by performing a material test of the injection melt material.

17. A method for the automated optimization of an injection molding machine set-up process, said machine for manufacturing injection molded parts and including an injection screw and a configurable injection velocity, said screw having a displacement, including the steps of:

- (1) manufacturing one or more parts with said machine;
- 10 (2) defining as a first pressure the end of velocity control phase pressure and as a second pressure the holding time pressure;
- (3) defining a linear relationship between packing/holding pressure and time consistent with said
- 15 first pressure and said second pressure, between said first pressure and said second pressure;
- (4) defining said packing time as a time of maximum difference between measured melt pressure and said linear relationship, or as the switchover point if measured melt
- 20 pressure increases after the switchover point;
- (5) determining a first screw displacement being the minimum displacement of said screw before said packing time within a packing/holding phase and a second screw displacement being the displacement of said screw at said
- 25 packing time; and
- (6) calculating said kickback from the difference between said first and second screw displacements, thereby allowing a determination of said kickback from measurements of said screw displacement at packing time.

30 18. A method for the automated optimization of an injection molding machine set-up process, said machine including an injection screw, including the steps of:

(1) setting an initial packing/holding pressure to a default low pressure;

(2) performing at least a partial injection cycle;

(3) determining kickback from changes in screw
5 displacement during said at least partial injection cycle;
(4) incrementing said initial packing/holding
pressure; and

(5) repeating steps (3) and (4) if kickback is
unacceptably high until kickback is reduced to a
10 predetermined acceptable level, or initial packing/holding
pressure reaches maximum machine pressure.

19. A method as claimed in claim 18, wherein said initial
packing/holding pressure is between 5% and 25% of end of
15 velocity control phase pressure, and a substantially
uniform packing pressure is used.

20. A method as claimed in claim 19, wherein said initial
packing/holding pressure is approximately 10% of end of
20 velocity control phase pressure.

21. A method as claimed in any one of claims 18 to 20,
wherein said initial packing/holding pressure is
incremented by between 2% and 25% of said end of velocity
25 control phase pressure.

22. A method as claimed in claim 21, wherein said initial
packing/holding pressure is incremented by approximately 5%
of said end of velocity control phase pressure.

30 23. A method as claimed in any one of claims 18 to 22,
including measuring kickback for a plurality of initial
packing/holding pressures, predicting an optimum initial
packing/holding pressure from said measurements to minimize

kickback, and incrementing said initial packing/holding pressure to said optimum initial packing/holding pressure.

24. A method for the automated optimization of an injection molding machine set-up process, said machine for manufacturing injection molded parts and including an injection screw, including the steps of:

(1) defining a holding time equal to a predetermined default value;

10 (2) performing at least a partial injection cycle;

(3) measuring a pressure stroke being the change in displacement of said screw between packing time and said holding time;

(4) incrementing said holding time;

15 (5) repeating steps (3) and (4) until said pressure stroke stabilizes or a part so produced is acceptable;

(6) defining a linear relationship between screw displacement and time consistent with screw displacement at said packing time and at said holding time, between said packing time and said holding time;

20 (7) defining a gate freeze time as a time of maximum difference between said screw displacement and said linear relationship, thereby providing a value for said gate freeze time from measurements of said screw displacement.

25 25. A method as claimed in claim 24, including the additional steps of:

(8) repeating steps (6) and (7), and defining an initial solidification time between said packing time and said gate freeze time;

30 (9) repeating steps (6) and (7), and defining an intermediate solidification time between said packing time and said initial solidification time; and

(10) determining an intermediate pressure from the ratio of the screw displacements at said intermediate time and at said gate freeze time, referenced to said packing time.

5

26. A method as claimed in either claim 24 or 25, wherein the value of said holding time employed in step (6) is greater than that defined in step (1) by a factor of between 1 and 3.

10

27. A method as claimed in any one of claims 24 to 26, wherein said predetermined default value is the greater of 2 times injection time and one second.

15

28. A method as claimed in any one of claims 24 to 27, wherein said stabilization occurs when said pressure stroke changes by less than a predetermined tolerance between successive measurements.

20

29. A method as claimed in any one of claims 24 to 28, wherein said holding time is incremented in step (4) by between 5% and 50%.

25

30. A method as claimed in claim 29, wherein said holding time is incremented in step (4) by approximately 20%.

31. A method as claimed in any one of claims 24 to 30, wherein said predetermined tolerance is between 2% and 10%.

30

32. A method as claimed in claim 31, wherein said predetermined tolerance is approximately 5%.

35

33. A method for the automated optimization of an injection molding machine set-up process, said machine for manufacturing injection molded parts and including an

injection screw and a configurable injection velocity, including the steps of:

(1) determining an optimum fill including:

(i) manufacturing one or more parts with said machine;

(ii) inspecting said parts for defects;

(iii) reducing injection stroke in response to flashing or increasing injection stroke in response to short shots; and

(iv) reducing injection velocity in response to flashing or increasing injection velocity in response to short shots, wherein either step (iv) is employed after step (iii) if step (iii) is found to have substantially no effect or substantially no further effect, or step (iii) is employed after step (iv) if step (iv) is found to have substantially no effect or substantially no further effect, thereby reducing said defects;

(2) determining an optimum injection velocity profile, including:

(i) manufacturing one of more parts with said machine;

(ii) determining an injection pressure profile by measuring injection pressure as a function of elapsed injection time with said machine configured with a substantially constant, desired injection velocity;

(iii) measuring injection velocity as a function of elapsed injection time and determining a profile of said measured injection velocity;

(iv) defining a mean pressure profile from said pressure profile in a regime of substantially constant measured injection velocity profile;

(v) adjusting said velocity profile over at least a portion of an injection velocity phase in response to said pressure profile to reduce differences between said pressure profile and said mean pressure profile, thereby tending to lessen irregularities in said pressure profile.

(3) modifying a post-velocity control phase intermediate set-up obtained after steps (1) and (2) in response to quality defects detected in said parts manufactured with said intermediate set-up to reduce said defects;

(4) a method of reducing kickback to an acceptable level to determine a critical packing/holding pressure, including:

(i) setting an initial packing/holding pressure to a default low pressure;

(ii) performing at least a partial injection cycle;

(iii) determining kickback from changes in screw displacement during said at least partial injection cycle;

(iv) incrementing said initial packing/holding pressure; and

(v) repeating steps (iii) and (iv) if kickback is unacceptably high until kickback is reduced to a predetermined acceptable level, or initial packing/holding pressure reaches maximum machine pressure.

(5) deducing material solidification time from measurements of screw displacement to determine an optimal packing/holding pressure profile, including:

(i) defining a holding time equal to a predetermined default value;

- (ii) performing at least a partial injection cycle;
- (iii) measuring a pressure stroke being the change in displacement of said screw between packing time and said holding time;
- (iv) incrementing said holding time;
- (v) repeating steps (iii) and (iv) until said pressure stroke stabilizes or a part so produced is acceptable;
- (vi) defining a linear relationship between screw displacement and time consistent with screw displacement at said packing time and at said holding time, between said packing time and said holding time;
- (vii) defining a gate freeze time as a time of maximum difference between said screw displacement and said linear relationship, thereby providing a value for said gate freeze time from measurements of said screw displacement;
- (6) modifying a post-pressure control phase preliminary set-up obtained after (1) to (5) in response to defects detected in said parts manufactured with said preliminary set-up to reduce said defects.

34. A method as claimed in claim 33, wherein step (iii) of step (4) includes determining kickback from measurements of said screw displacement at packing time, including the steps of:

- (a) manufacturing one or more parts with said machine;
- (b) defining as a first pressure the end of velocity control phase pressure and as a second pressure the holding time pressure;

(c) defining a linear relationship between packing/holding pressure and time consistent with said first pressure and said second pressure, between said first pressure and said second pressure;

5 (d) defining said packing time as a time of maximum difference between measured melt pressure and said linear relationship, or as the switchover point if measured melt pressure increases after the switchover point;

10 (e) determining a first screw displacement being the minimum displacement of said screw before said packing time within a packing/holding phase and a second screw displacement being the displacement of said screw at said packing time; and

15 (f) calculating said kickback from the difference between said first and second screw displacements, thereby allowing a determination of said kickback from measurements of said screw displacement at packing time.

35. A method as claimed in either claim 33 or 34, wherein
20 step (5) includes the additional steps of:

(viii) repeating steps (vi) and (vii), and defining an initial solidification time between said packing time and said gate freeze time;

25 (ix) repeating steps (vi) and (vii), and defining an intermediate solidification time between said packing time and said initial solidification time; and

30 (x) determining an intermediate pressure from the ratio of the screw displacements at said intermediate time and at said gate freeze time, referenced to said packing time.

36. A method as claimed in any one of the preceding claims, including:

determining said machine's velocity control response time, and

employing time steps equal to or greater than said response time.

5

37. A method as claimed in claim 36, wherein said time steps are greater than 1.5 times said response time, and more preferably equal to 2 times said response time.

10

38. A method as claimed in any one of the preceding claims, wherein nozzle melt pressure, injection cylinder hydraulic pressure, forward propelling force applied to said screw, or any other measure proportional to or equal to said nozzle melt pressure, is used as a measure of, in place of, or to determine, injection pressure.

15

39. A method as claimed in claim 38, wherein said injection cylinder hydraulic pressure is used as a measure of or to determine said injection pressure.

20

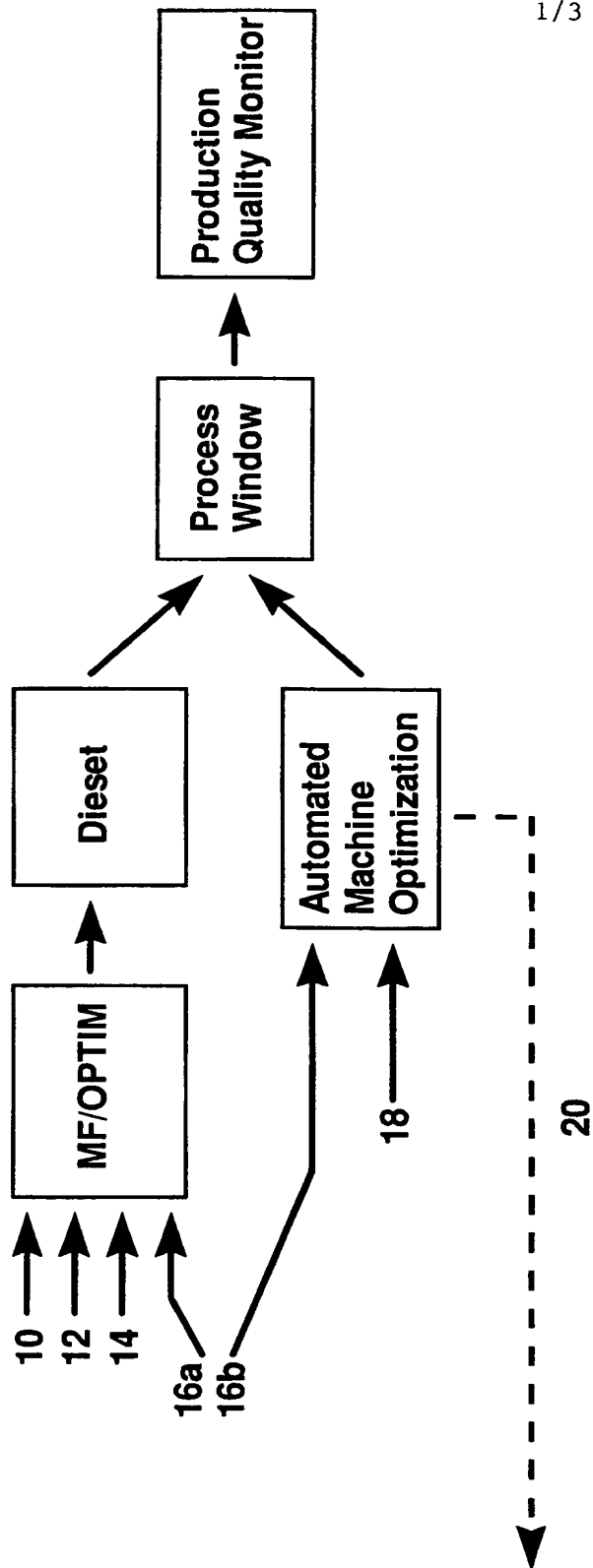


FIGURE 1

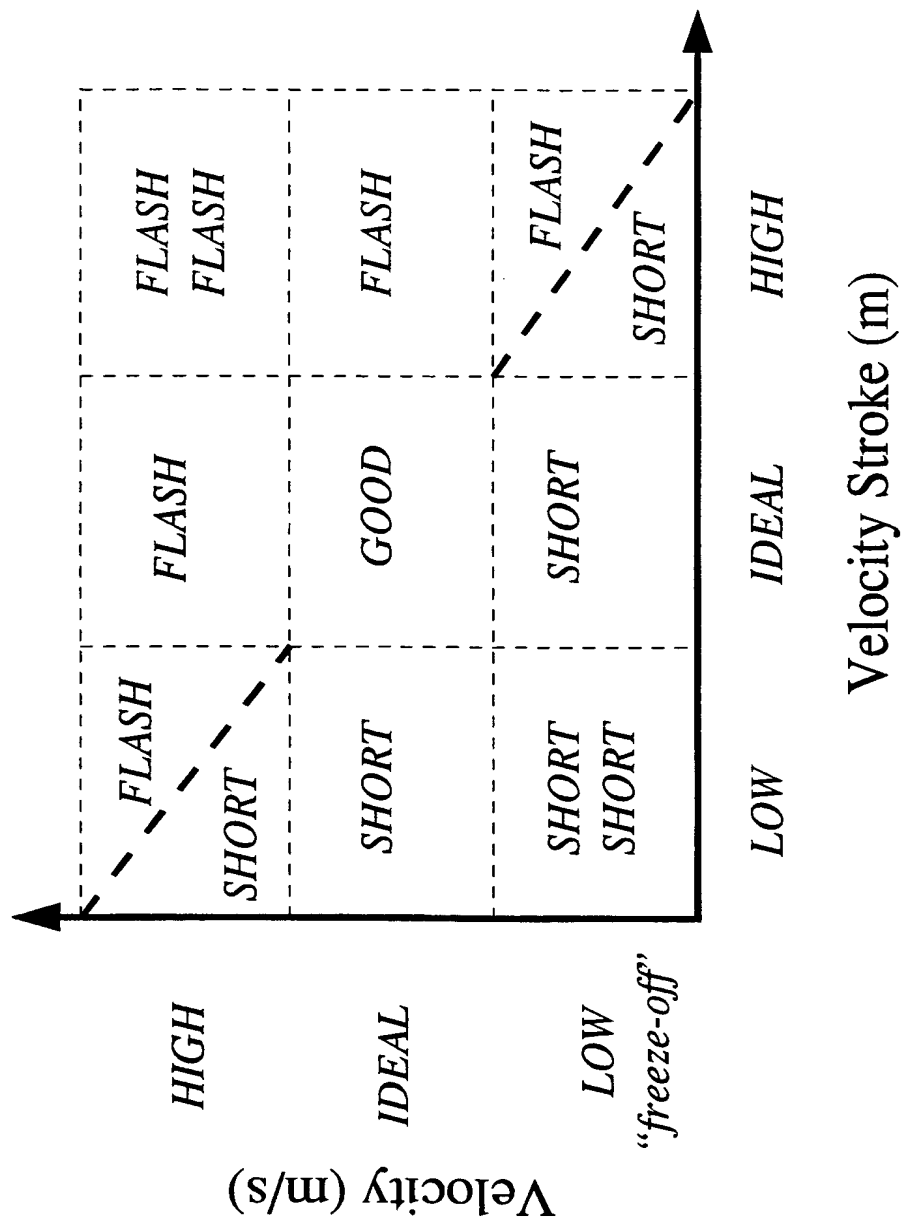


FIGURE 2

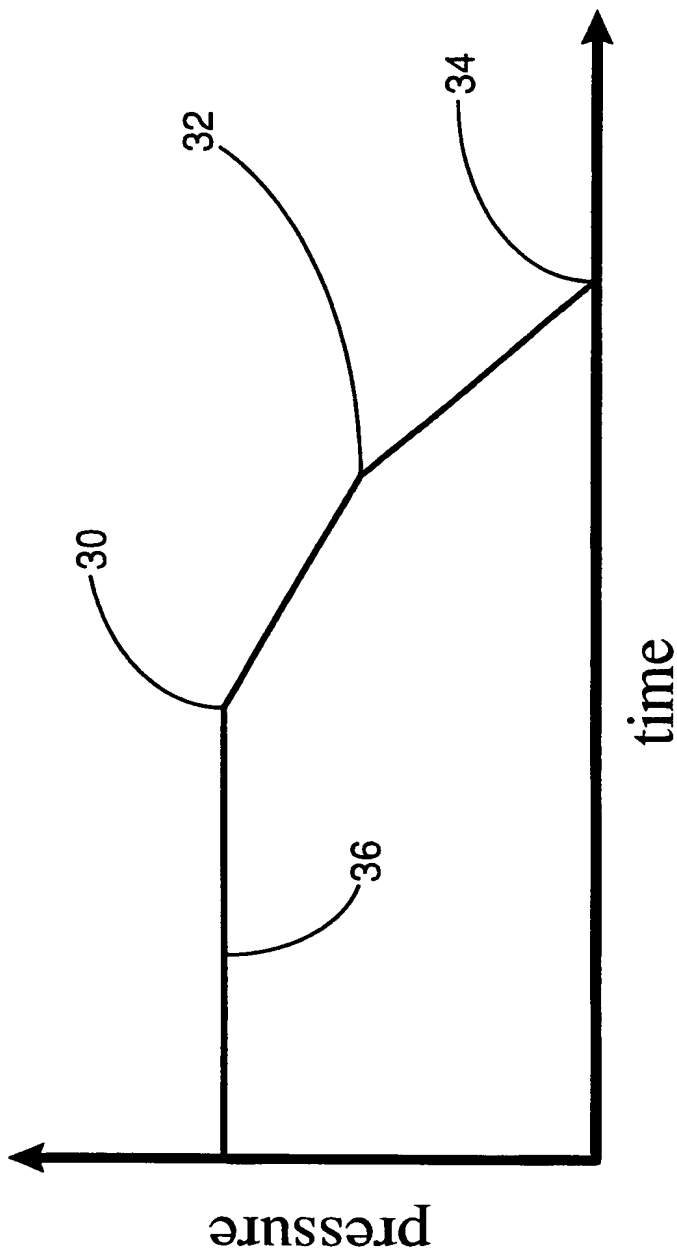


FIGURE 3

INTERNATIONAL SEARCH REPORT

International application No.
PCT/AU 99/00067

A. CLASSIFICATION OF SUBJECT MATTER

Int Cl⁶: B29C 45/76, 45/77

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC: B29C 45/76, 45/77, 45/17, B29F 1/06, 1/04

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
AU: B29C 45/76, 45/77

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
WPAT and JAPIO: IPC as above and keywords

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	AU 54714/96 A (NIGATA ENGINEERING CO LTD) 19 December 1996 Page 24 line 15 to page 27 line 3, Figure 6 Page 24 line 15 to page 27 line 3, Figure 6	17 18-23, 33-39
A	Derwent Abstract Accession No. 92-069133/09, Class A32 JP 04-012821 A (TOYO KIKAI KINZOKU KK) 17 January 1992 Abstract	18-23, 33-39
X A	Derwent Abstract Accession No. 95-354745/46, Class A32 JP 07-241892 A (SODICK CO LTD) 19 September 1995 Abstract Abstract	24-32 33-39

☒ Further documents are listed in the
continuation of Box C

☒ See patent family annex

* Special categories of cited documents:

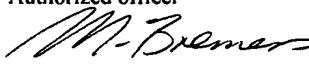
"A" Document defining the general state of the art which is not considered to be of particular relevance
"E" earlier application or patent but published on or after the international filing date
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
"O" document referring to an oral disclosure, use, exhibition or other means
"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"&" document member of the same patent family

Date of the actual completion of the international search
19 March 1999

Date of mailing of the international search report
25 MAR 1999

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INTERNATIONAL SEARCH REPORT

International application No.
PCT/AU 99/00067

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	Derwent Abstract Accession No. 89-296767/41, Class A32 JP 01-218814 A (TOYO KIKAI KINZOKU KK) 1 September 1989 Abstract	24-39
A	US 5518671 A (TAKIZAWA et al.) 21 May 1996 Abstract and column 7 line 60 - column 8 line 67	1, 33-39
A	US 5578256 A (AUSTIN) 26 November 1996 Abstract	1, 33-39
A	Patent Abstracts of Japan JP 07-232356 A (SODICK CO LTD) 5 September 1995 Abstract	1, 33-39
A	Derwent Abstract Accession No. 90-152872/20, Class A32 JP 02-098418 A (JAPAN STEEL WORKS) 10 April 1990 Abstract	1, 33-39
A	US 5595693 A (FUJITA et al.) 21 January 1997 Abstract and Figure 4	2-16, 33-39
A	Derwent Abstract Accession No. 97-531112/49, Class M22 JP 09-253824 A (TOSHIBA MACHINE CO LTD) 30 September 1997 Abstract	2-16, 33-39

INTERNATIONAL SEARCH REPORT

International application No.
PCT/AU 99/00067

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a)

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

See extra sheet.

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☒ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International Application No.
PCT/ AU 99/00067

Supplemental Box

(To be used when the space in any of Boxes I to VIII is not sufficient)

Continuation of Box No: II

The international application does not comply with the requirements of unity of invention because it does not relate to one invention or to a group of inventions so linked as to form a single general inventive concept. In coming to this conclusion the International Searching Authority has found that there are different inventions as follows:

1. Claims 1 and 33-35 (and claims appended thereto) relate to a method for automated optimization of an injection molding set-up process wherein the injection stroke and velocity are adjusted to produce a part having no defects. It is considered that the adjustment of the injection stroke and velocity to produce a part having no defects comprises a first "special technical feature".
2. Claims 2-16 relate to a method for automated optimization of an injection molding set-up process wherein the injection velocity profile is adjusted to achieve a desired pressure profile. It is considered that adjustment of the velocity profile to achieve a desired pressure profile comprises a second "special technical feature".
3. Claims 17-23 relate to a method for automated optimization of an injection molding set-up process wherein the kickback is determined or adjusted. It is considered that the kickback determination or adjustment comprises a third "special technical feature".
4. Claims 24-32 relate to a method for automated optimization of an injection molding set-up process wherein the gate freeze time is determined. It is considered that the determination of the gate freeze time comprises a fourth "special technical feature".

It is also noted that in claim 33, the second, third and fourth groups of claims above relate to steps which follow the steps of the first invention (claim 1).

These groups are not so linked as to form a single general inventive concept, that is, they do not have any common inventive features, which define a contribution over the prior art. The common concept linking together these groups of claims is a method for automated optimization of an injection molding set-up process. However this concept is not novel in the light of US 5518671 A (Takizawa et al.) 21 May 1996. Also see the "Description of the relevant Art" in this document.

Therefore these claims lack unity a posteriori.

Information on patent family members

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Form PCT/ISA/210 (extra sheet) (July 1998) copjhw